

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C09K 11/06, C08G 61/10, 83/00, H05B 33/14</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/21935</b> <b>(43) International Publication Date:</b> 6 May 1999 (06.05.99)
<b>(21) International Application Number:</b> PCT/GB98/03172 <b>(22) International Filing Date:</b> 23 October 1998 (23.10.98)  <b>(30) Priority Data:</b> 9722348.1                      23 October 1997 (23.10.97)      GB 9726929.4                      19 December 1997 (19.12.97)      GB  <b>(71) Applicant (for all designated States except US):</b> ISIS INNOVATION LIMITED [GB/GB]; 2 South Parks Road, Oxford OX1 3UB (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> SAMUEL, Ifor, David, William [GB/GB]; The University of Durham, Dept. of Physics, South Road, Durham DH1 3LE (GB). HALIM, Mounir [MA/GB]; The University of Durham, Dept. of Physics, South Road, Durham DH1 3LE (GB). BURN, Paul, Leslie [AU/GB]; The University of Oxford, The Dyson Perrins Laboratory, South Parks Road, Oxford OX1 3QY (GB). PILLOW, Jonathan, Nigel, Gerard [GB/GB]; The University of Oxford, The Dyson Perrins Laboratory, South Parks Road, Oxford OX1 3QY (GB).		<b>(74) Agents:</b> ELLIS-JONES, Patrick, George, Armine et al.; J.A. Kemp & Co., 14 South Square, Gray's Inn, London WC1R 5LX (GB).  <b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> LIGHT-EMITTING DENDRIMERS AND DEVICES  <b>(57) Abstract</b>  <p>Light emitting compounds are disclosed for use in light emitting devices. These compounds have the formula A compound having the formula: CORE (DENDRITE)<sub>n</sub> in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero) aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent.</p>		

16591 U.S. PTO

10/773029



020504

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

-1-

## LIGHT-EMITTING DENDRIMERS &amp; DEVICES

## Field of the Invention

This invention relates to light-emitting dendrimers and devices using them and methods for their synthesis and construction.

## Background of the Invention

It has been clearly demonstrated that conjugated organic materials, including linear (co)polymers, oligomers, and molecular materials show considerable promise as the light-emitting layers in light-emitting diodes (LEDs) (J.H.Burroughs et al, Nature, 1990, 347, 539). However, to reach their full potential, a number of problems need to be overcome including achievement of the necessary emission colours (particularly blue and red), optimisation of chromophoric efficiency together with processing properties, and extension of device operational lifetime. The simplest organic-based LEDs have the organic light-emitting layer sandwiched between an anode, which injects holes, and a cathode, which injects electrons, with one or both of the electrodes being clear to allow the emission of light from the device. Most light-emitting conjugated materials tend to transport holes in preference to electrons and hence the LEDs which have been developed can incorporate additional charge transport layers in addition to the luminescent layer in an effort to balance the charge injection (A.R.Brown et al, Chem. Phys. Lett., 1992, 200, 46). The problem with using linear (co)polymers for such devices is that changes to the structure of the linear (co)polymer designed to change the electronic properties of the linear (co)polymer may then also change the synthetic procedure as well as the processing properties of the linear (co)polymers (P.L.Burn et al, J.

-2-

Am. Chem. Soc., 1993, 115, 10117).

#### Summary of the Invention

The present invention addresses the problems encountered with (co)polymers on the basis that dendritic light-emitting molecules will provide a new approach to the molecular engineering of materials for LEDs and tend to have distinct advantages over linear polymers in some or all of these areas, including efficiency, colour control, and processing.

Dendrimers are typically represented by a core (rectangle), dendritic branches including conjugated units (circles and triangles) and including branch links (L), and surface groups (S), of general structure shown in the accompanying Diagram 1. The branch links (L) can be a simple bond or bonds.

The dendrimers according to the present invention which can be used for incorporation into light emitting devices have a core and/or branches comprising electroluminescent or charge-transporting chromophores (conjugated units). The conjugated units used for the core and/or the branches need not be the same. The importance of each component of the dendrimer will be discussed below.

There have been many investigations into the synthetic procedures for the preparation of dendrimers. The dendrimers according to the invention may be synthesised by any convenient method, including both "convergent" and "divergent" methods (Z.Xu et al, J. Am. Chem. Soc., 1994, 116, 4537). In convergent methods the dendritic branches are first synthesised and then bonded to bonding sites on the core, whereas in divergent methods the dendritic branches are progressively built up from the core bonding sites.

-3-

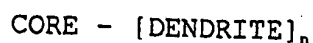
Light-emitting diodes (LEDs) using as light-emitting element dendrimers having an anthracene core linked by acetylene (ethynyl) linkages to acetylene-linked 3,5-t-butylphenyl dendritic structures have been described by  
5 Jeffrey S. Moore et al in Adv. Mater. 1996, 8, No. 3, pp 237-241. Those dendrimers are believed not to be very efficient for the present purposes. Bettenhausen and Strohrriegel, in Macromol. Rapid Commun. 17, 623-631 (1996) describe dendrimers having 1,3,4-oxadiazole linking units  
10 for use as electron injection and transport layers (not the light-emitting element) in light emitting devices.

S. K. Deb et al, in J.Am.Chem.Soc. (1997), 119(38), pp 9079-9080, have described reactive attachment of aldehyde-functionalised structures to the 1,3,5-positions of a  
15 benzene ring to synthesise poly(phenylenevinylene) dendrimers which are described as fluorescent. These dendrimer end products, although not light emitting devices containing them, are excluded from the present invention, and so are the functionalised precursor structures.

20 The present invention provides dendrimers, ways of synthesising them, methods of processing them to make devices, and devices using them, which are believed to be superior to those previously known dendrimers, especially for use in light emitting devices. It is to be understood,  
25 for further avoidance of doubt, that the use of the specified dendrimers as a light-emitting element in a light-emitting device encompasses such use of the dendrimers either as the light-emitting element, or in the light-emitting element in the event that the light-emitting  
30 element is regarded as including structures or materials in addition to the dendrimers themselves.

-4-

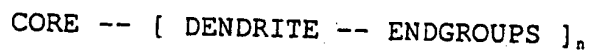
One aspect of the invention accordingly provides a compound having the formula:



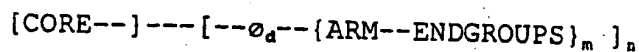
5

in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl and/or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent; usually the compound is luminescent, preferably in the solid states. Preferably the compound emits light in the visible region under electrical or optical excitation. The compounds typically have one or more end or surface groups such that the compounds will have the general structure

25



or, more particularly,



30

in which  $\phi_a$  is the said first aryl or heteroaryl moiety of the DENDRITE, typically a 1,3,5-bonded benzene ring, ARM is the dendritic arms extending therefrom, and m is an integer of value at least 2.



-5-

The compound may have more than one luminescent moiety and the energy resulting from electrical or optical excitation is transferred to one of them for light emission. In a preferred embodiment the dendrimer

5 incorporates at least two inherently at-least-partly-conjugated luminescent moieties which moieties may or may not be conjugated with each other, wherein the or each said dendritic structure(s) include(s) at least one of the said luminescent moieties, the luminescent moiety or moieties

10 further from the core of the dendrimer being of larger HOMO-LUMO energy gap than the luminescent moiety or moieties closer to or partly or wholly within the core of the dendrimer. In another embodiment the HOMO-LUMO energy gap is the same.

15 The relative HOMO-LUMO energy gaps of the moieties can be measured by methods known per se using a UV-visible spectrophotometer. It appears that this graduation of HOMO-LUMO energy gap being lower in those luminescent moieties which are closer to the core is beneficial in

20 encouraging inwards charge transfer and increased light-emitting activity within the dendrimer molecules, possibly by reducing migration of the excited states to quenching sites and giving rise to the possibility of charge build-up. One of the luminescent moieties may be, or (partly or

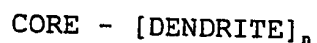
25 wholly) within, the core itself, which will thus preferably have a smaller inherent HOMO-LUMO gap energy than the other luminescent moiety or moieties in the dendritic structures. Alternatively, or in addition, the dendritic structures themselves may each contain more than one luminescent

30 moiety, in which case those further from the core will again preferably have larger inherent HOMO-LUMO gap energies than those closer to the core. In this case, the

-6-

core itself need not be luminescent, although luminescent cores are generally preferred.

Other aspects of the invention provide a light-emitting device incorporating as or in its light-emitting element a compound having the formula:



in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent.

#### Advantages of Dendrimers for Light Emitting Devices

*Selection of Emission Colour:* We envisage that at-least-partially alkylene conjugated units of specific length and substitution can be introduced as the core or branches of dendrimers to give good colour selection possibilities for light emitting devices. For example, dendrimers which have units derived from trans,trans-distyryl benzene as the core and branches should have the good photoluminescence quantum efficiency and blue emission of the parent compound (Nakatsuji et al, J. Chem. Soc. Perkin Trans 2, 1991, 861).

*Control of Intermolecular Interactions and Avoidance of*

-7-

*Concentration Quenching:* Intermolecular interactions have a strong effect on the photophysics of conjugated molecules, and the flexibility of synthesis (generation number, surface groups, linkers, etc.) will allow them to be controlled. This is believed to be a particular advantage for the efficiency of blue emission, because it will tend to prevent the blue luminescence from being quenched by excimer formation, which may render the emission yellow instead. In addition, there are a wide range of luminescent molecules, for example, dyes. Luminescence in these systems is often quenched at high concentrations, as encountered in the film. By incorporating these molecules into a dendrimer we can avoid this, for example, by avoiding processes such as pi-stacking. Hence dendrimers provide a way of using an enormous range of chromophores that could not otherwise be easily used, for example, porphyrins.

*Simultaneous Optimisation of Efficiency and Processing:* With higher-generation dendrimers (see hereinafter), the surface groups may tend to assume a majority or substantially all of the molecular contact with the surrounding environment. Therefore, the outer surface controls the solubility and processibility of the molecule and thus changes to the internal electronic structure of the chromophore(s) should be possible without unacceptably affecting the processing properties and vice versa. In contrast, the solubility and processibility of linear conjugated polymers can be dramatically affected by the attachment of substituents, e.g., electron-withdrawing groups which facilitate electron injection. Therefore, the dendrimers described here provide an opportunity of optimising the electronic and processing properties

independently which should give improved manufacturability of electronically optimised materials. Some examples of the surface groups which would be suitable to incorporate onto the dendrimers include branched and unbranched alkyl, especially t-butyl, branched and unbranched alkoxy, hydroxy, alkylsilane, carboxy, carbalkoxy, and vinyl. A more comprehensive list include a further-reactable alkene, (meth)acrylate, sulphur-containing, or silicon-containing group; sulphonyl group; polyether group; C<sub>1</sub>-to-C<sub>15</sub> alkyl (preferably t-butyl) group; amine group; mono-, di- or tri-C<sub>1</sub>-to-C<sub>15</sub> alkyl amine group; -COOR group wherein R is hydrogen or C<sub>1</sub>-to-C<sub>15</sub> alkyl; -OR group wherein R is hydrogen, aryl, or C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl; -O<sub>2</sub>SR group wherein R is C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl; -SR group wherein R is aryl, or C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl; -SiR<sub>3</sub> groups wherein the R groups are the same or different and are hydrogen, C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl, or -SR' group (R' is aryl or C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl), aryl, or heteroaryl.

*Efficiency of Light Emission:* It is considered, according to the present invention, that the efficiency of light emission from light emitting devices based on dendrimers tends to be better than that of light emitting devices based on linear polymers. This is because exciton migration to quenching sites can be inhibited, optimised chromophores can be used, and intermolecular interactions controlled to avoid undesirable processes such as excimer formation. In linear conjugated polymers the exciton migrates through the sample to regions of low HOMO-LUMO gap energy and can often encounter defects which quench the luminescence. Dendrimers can be designed according to the present invention so that the innermost or central chromophores have a lower HOMO-

-9-

LUMO energy gap than chromophores closer to the surface. Thus, once an excited state moves towards the core it can be trapped within the dendrimer and further migration to quenching sites impeded. In addition, this process will  
5 tend to give rise to a space charge build-up similar to that obtained in organic light emitting devices incorporating a hole-blocking electron transporting layer. Dendrimers with electron-withdrawing groups attached to the chromophores and/or high electron affinity chromophores  
10 will be easier to prepare as the routes involve "small molecule" reactions, which do not have the same stringent requirements, for example, yield, of those for forming high polymers.

15 *Device Lifetime:* Dendrimers tend to show improved chemical stability for two reasons. First, as the excited state can be located at the core of the molecule, it is more protected from the environment, and it is likely to give improved photochemical stability. Second, dendrimers  
20 generally have much higher glass transition temperatures than the chromophores they contain, generally giving improved thermal stability to the device and reducing problems of recrystallisation associated with smaller molecules.

25

### *Synthesis*

The types of dendrimer contemplated for the present purposes incorporate conjugated units which are preferably based on arylenes and heteroarylenes linked by alkenyl  
30 (preferably vinyl) groups. The conjugation of the core may be varied both in length, with the aim to have the HOMO-LUMO energy gap lower than that of the branches as

-10-

aforesaid, and in substituent pattern. This allows control of the colour of emission, from blue to red, and of electron affinity. Surface groups may be chosen to afford solubility and processibility to the dendrimers in common solvents. If the need arises for multilayer LEDs to be prepared, then the surface groups may be selected to allow crosslinking.

The core compound will often be a monomeric compound, but complex molecules, for example porphyrins, and dimers, trimers, and oligomers are not excluded. Specific examples include a moiety of benzene, pyridine, pyrimidine, triazine, thiophene, divinylbenzene, distyrylethylene, divinylpyridine, pyrimidine, triazine, divinylthiophene, oxadiazole, coronene, or a triarylamine or a fluorescent dye or marker compound, or a polyphenylene chain and especially a distyryl anthracene, porphyrin or distyrylbenzene moiety. These various rings may be substituted, for example by C<sub>1</sub> to C<sub>15</sub> alkyl or alkoxy groups. In one embodiment there are no halogen substituents.

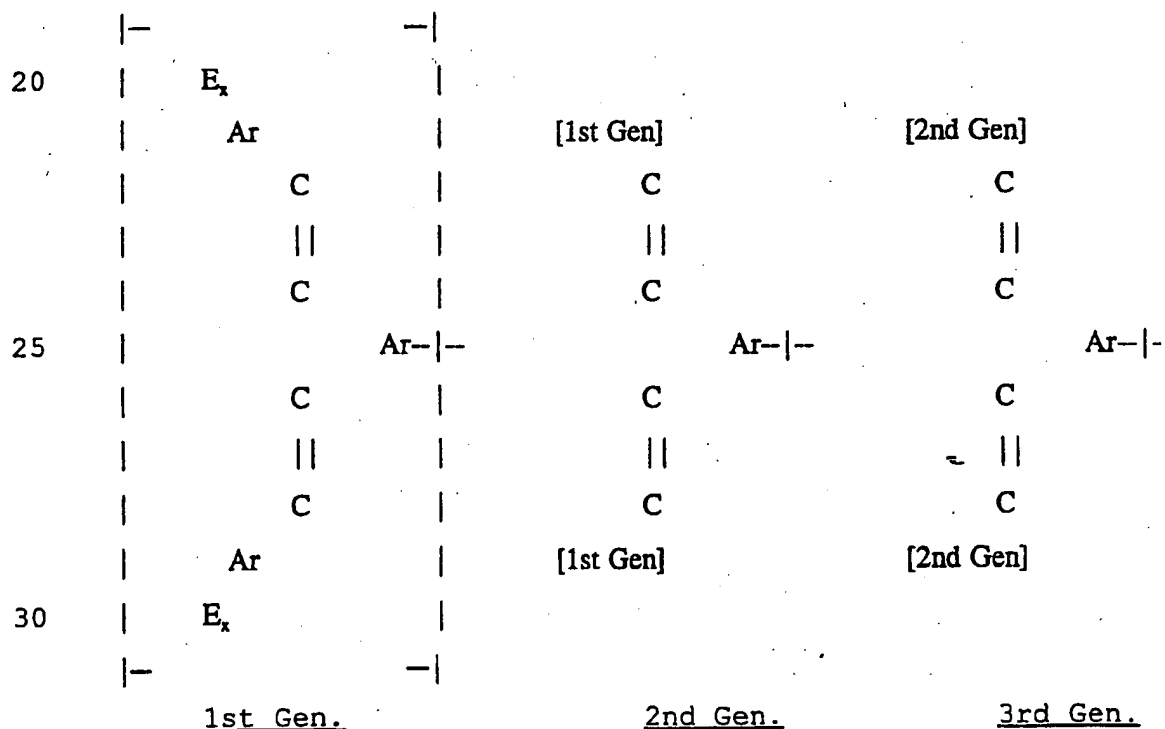
It is likely to be preferred to include in the dendrimers groups that favour electron and hole transport into the dendrimers, thus providing in a single molecule the electron and hole transporting agents which are provided as distinct layers in previously known devices, for example of structure ITO/hole transport layer/emissive layer/electron transport layer/metal contact.

A "one-sided" dendrimer from a core having a single reactive site, or preferably dendrimers built around a core with two, three, or more reactive sites, could be useable. Very large cores, e.g. a porphyrin or a multi-ring system with more than six reactive sites, can be sterically

-11-

possible. Oligomeric cores formed of units such as distyrylbenzene, phenylene, phenylene-vinylene, and terphenyl may be useful.

As indicated the DENDRITE part of the molecules are formed of aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group. DENDRITE is inherently at least partly conjugated; "inherently" means that there is conjugation within DENDRITE itself. The aryl groups are preferably benzene rings, preferably coupled at ring positions 1, 3 and 5, pyridyl or triazinyl rings, or polyphenylene chains. These groups may optionally be substituted, typically by C<sub>1</sub> to C<sub>15</sub> alkyl or alkoxy groups. Thus DENDRITE is typically illustrated by groups coupled by conjugated linkages in 1st, 2nd or 3rd (or higher) generation structures of general formula



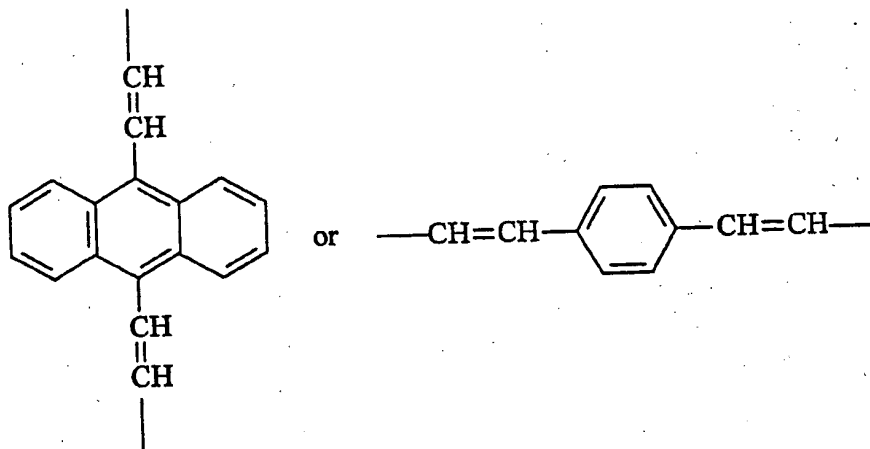
-12-

in which x is 1, 2, or 3 and E is a surface group which may be the same or different if more than one.

5 Examples of dendrimers according to the invention are illustrated in the accompanying Diagram 2 and have all the chromophores linked by conjugated units and in particular alkylene moieties.

In one embodiment n is 2 and the DENDRITE units are attached in the para position to an aromatic core as in

10



It will be appreciated that CORE can comprise at least two aromatic rings which are not fused to one another as unit 6.

15 This type of dendrimer may appear fully conjugated. However, as the branch linkages are all meta in arrangement, the pi-electron system is not fully delocalised over the whole molecule (R.S.Kang et al, J. Chem. Soc., Chem. Comm., 1996, 1167). This means that in a  
20 simple analysis the core can be considered independently from the branches when determining the required colour of



-13-

light emission and the relative energy gaps or conjugation lengths. The core does not necessarily have to be conjugated or aromatic. For example, the core could be a nitrogen or carbon atom to which aryl ring units of three or four dendritic structures could be bonded.

The synthetic sequence developed for the preparation of 4 and 5 may be extended/repeated to form higher-generation and/or differently-substituted dendrimers (see Diagram 2). Initially, branches comprising stilbene units are preferred whilst varying the conjugation length and electron affinity of the core. Use of t-butyl groups as the surface groups is initially preferred, as they have afforded good films of 4 and 5. The use, as CORE groups, of 1,4-distyrylbenzyl, 9,10-distyrylanthracenyl and 5,10,15,20-porphyrin is also preferred. Preferably, the first step of the iterative synthetic sequence involves the coupling of 1 (N. Risch et al, Z. Naturforsch, 1994, 496, 141) to 1,3,5-tribromobenzene using palladium catalysis (W.A.Hermann et al, Angew. Chem. Int. Ed. Engl., 1995, 34, 1844) to give 2, followed by coupling of the resulting distyrylbromo intermediate 2 with aryl and/or heteroaryl bis-stannanes or bis-vinyls to give first generation dendrimers such as 4, 5, 6, 7, and 8. For example, 4 has been formed by coupling 2 with 1,4-bis-tri-n-butylstannylbenzene and 5 from the coupling of 2 with 1,4-divinylbenzene. In each case the first generation dendrimers will have a different HOMO-LUMO energy gap, giving rise to different emission colours, as well as different electron affinities. The links per se shown in Diagram 2 for the core may be produced by literature procedures. The invention includes increasing the generation number of the dendrimers and to this end 3 has been synthesised, which is a vinyl derivative of the

-14-

first-generation branching reactant 2. It is further possible to react 3, as in the case of 1, with 1,3,5-tribromobenzene to give the second-generation branch 17, which can then be attached to the cores as before. We envisage that the second-generation branch can then be converted to its vinyl derivative for the next iteration to give subsequent (third and possibly higher) generations. It will be appreciated that, at every step, a brominated core is needed.

10 In an alternative preferred iterative route for the preparation of this type of dendrimers the styrene 1 is linked to 3,5-dibromobenzaldehyde (L.S.Chen et al, J. Organomet. Chem., 1981, 215, 281) utilising a palladium-catalysed coupling. The aldehyde 9 can then be coupled with the required bis-phosponates to give 5, 6, 7, 8 and 18. In addition, 9 can be condensed with pyrrole to give the corresponding porphyrin dendrimer. The next stage in the iteration to form higher generations is to react the aldehyde 9 with triphenylmethylphosphonium iodide to give 3. The styrene moiety of 3 is then coupled to 3,5-dibromobenzaldehyde to give 19. The aldehyde 19 can then be coupled as before to give the next generation of dendrimers. The next generation aldehyde can be prepared in a similar manner. Accordingly the present invention also provides a process for preparing a compound of the formula:-

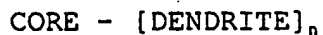


30 in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an

-15-

inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom or an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent, which comprises reacting a 3,5-di(halo, preferably bromo)benzaldehyde with a 3,5-di(surface group, preferably t-butyl)styrene, optionally (i) A process for preparing a compound of the formula:

15



in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent, which comprises reacting a 3,5-di(halo)benzaldehyde with a 3,5-di(surface group)styrene, optionally (i) converting the aldehyde group of the

-16-

benzaldehyde which results from the preceding reaction into a vinyl group and (ii) reacting the vinyl compound with 3,5-di(halo)benzaldehyde, said combination of steps (i) and (ii) being carried out one or more times, and finally  
5 reacting the benzaldehyde which results from the preceding reaction with a moiety which comprises at least the central part of CORE. It will be appreciated that in the final step anything which will react with aldehyde groups can be used. Typical moieties include pyrrole and phosphonates.

10 It is furthermore possible to control the electron affinity of the dendrimers by the addition to the chromophores of electron-withdrawing groups, for example cyano and sulfone which are strongly electron-withdrawing and optically transparent in the spectral region we are  
15 interested in. Addition of these substituents may be more easily achieved with the preferred arylenevinylene and heteroarylenevinylene cores and at least two different ways of attaching these groups are possible. The first involves the attachment of the electron-withdrawing groups onto the  
20 arylene part of the core followed by coupling with 2, 3, and 17. For example, 4-bromomethylthioanisole can be converted in two steps to 1,4-dimethylthiobenzene. Di-bromination may then afford primarily 2,5-dibromo-1,4-dimethylthiobenzene, which when coupled with 3 will give a  
25 substituted 5. The methylthio groups may then be oxidised with Oxone or other suitable reagent to give the corresponding sulfones, that is, 5 with two electron withdrawing groups attached to the core. The alternative route will allow the electron-withdrawing groups to be  
30 attached to the vinylene units or both parts of the core. This will involve the lithiation of 2 followed by reaction with N,N-dimethylformamide to give 9, which will then be

-17-

condensed with a variety of bis-acetonitrile arylenes under Knoevenagel conditions to give the corresponding alkenes with cyano groups attached. For example, reaction of 9 with the commercially available 1,4-phenylenediacetonitrile will give the dicyano substituted 5. Alternatively the branches and/or core may contain heteroaromatic units such as pyridine, pyrimidine, thiazole, triazine or fluorinated aryl or heteroaryl units to increase the electron affinity of the dendrimer.

Initially, single-dendrimer-layer light emitting devices may be made typically by spin-coating from solution onto, say, indium/tin oxide- (ITO) coated glass substrates followed by the evaporation of a suitable metal cathode such as Al, Mg/Ag or Ca. Devices may then be characterised by measuring their I-V curves, light output, emission spectra, and efficiency. Light emitting devices may be made as follows. Take an ITO-coated glass substrate and deposit a film of the dendrimer by spin-coating (although there are a number of related techniques which could be used). This gives a film of thickness typically 80-150 nm. A top metal contact is then evaporated. The metal injects electrons, the ITO injects holes, and the light comes out of the dendrimer layer when the two meet up, providing they form a singlet exciton. Electroluminescence (EL) efficiency can be expressed as the number of photons emitted by the device divided by the number of electrons passing through it. This is known as the external quantum efficiency. There is also a quantity known as the internal quantum efficiency, which is the number of photons generated in the device divided by the number of electrons passing through it. This number is a factor 5-8 higher than the external quantum efficiency because only some of the photons get out

-18-

of the device.

The following Examples further illustrate the present invention:

5    **Synthesis:**

3,5-Di-t-butylstyrene

      Dry tetrahydrofuran (80 ml) was added to a mixture of potassium t-butoxide (6.740 g, 60.06 mmols) and methyltriphenylphosphonium bromide (24.276 g, 60.06 mmols) and stirred at room temperature for 30 minutes. A solution of 3,5-di-t-butylbenzaldehyde (10.087 g, 46.20 mmols) in dry tetrahydrofuran (30 ml) was then added and the reaction mixture was stirred at room temperature for 17 hours. Acetone (50 ml) was then added and then all of the solvent was removed under vacuum. Pet. ether (60-80, 150 ml) was then added to the residue and the mixture was stirred vigorously for 30 minutes. The solution was then filtered through silica, rinsing thoroughly with pet. ether (60-80) and the solvent removed under vacuum to give 3,5-di-t-butylstyrene (9.302 g, 93%).

3,5-bis(3',5'-di-t-butylstyryl)styrene.

First Example

      Dry tetrahydrofuran (300 ml) was added to a mixture of methyltriphenylphosphonium iodide (17.00 g, 42.0 mmols) and potassium t-butoxide (4.72 g, 42.0 mmols) and stirred at room temperature for 10 minutes. 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (17.00 g, 42.0 mmols) was then added and the reaction mixture was stirred at room temperature for 75 minutes. The solvent was then evaporated and the remaining sludge was triturated with dichloromethane - pet. ether (40-60) (1:9) and filtered

-19-

through silica, rinsing thoroughly with the same solvent mixture. The solvent was evaporated to give 3,5-bis(3',5'-di-t-butylstyryl)styrene (14.83g, 99 %), mp 150°C (Found: C, 90.03; H, 9.98. C<sub>40</sub>H<sub>52</sub> requires C, 90.16; H, 9.84%);

5  $\lambda_{\max}$ (CHCl<sub>3</sub>)/nm 318 ( $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 68500);  $\delta_H$ (500 MHz; CDCl<sub>3</sub>) 1.39 (36 H, s, tBu), 5.34 (1 H, d, *J* 11, 8-H), 5.88 (1 H, d, *J* 18, 8-H), 6.79 (1 H, dd, *J* 11 and 18, 7-H), 7.15 (2 H, d, *J* 16, 7'-H), 7.25 (2H, d, *J* 16, 8'-H), 7.38 (2 H, t, *J* 1.75, 1'-H), 7.42 (4 H, d, *J* 1.75, 3',5'-H), 7.49 (2

10 H, d, *J* 1.3, 3,5-H), 7.63 (1H, m, 1-H).

### Second Example

Dry tetrahydrofuran (375 mL) was added to a mixture of

15 methyltriphenylphosphonium iodide (50.6 g, 125 mmoles) and potassium *t*-butoxide (14.0 g, 125 mmoles) and stirred at room temperature for 15 minutes. 3,5-bis(3',5'-di-*t*-butylstyryl)benzaldehyde (44.6 g, 83.4 mmoles) was then added and the reaction mixture was stirred at room

20 temperature for 90 minutes. The solvent was then evaporated and the remaining sludge was triturated with dichloromethane - pet.ether (40-60) (1:9) and filtered through silica, rinsing thoroughly with the same solvent mixture. The crude product was recrystallised from

25 dichloromethane/methanol to give white crystals of 3,5-bis(3',5'-di-*t*-butylstyryl)styrene (42.15 g, 95 %) m.p. 143-145°C with microanalysis i/r, u/v, NMR and mass spectrographic data substantially identical to that of the first example.

30

3,5-bis[3',5'-bis(3'',5''-di-*t*-butylstyryl)styryl]styrene  
First Example

-20-

Dry tetrahydrofuran (1 ml) was added to a mixture of methyltriphenylphosphonium iodide (135 mg, 0.334 mmoles) and potassium t-butoxide (37 mg, 0.334 mmoles) and stirred at room temperature for 10 minutes. A solution of 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (195 mg, 0.167 mmoles) in dry tetrahydrofuran (1 ml) was then added and the reaction mixture was stirred at room temperature for 1 hour. The solvent was then evaporated and the remaining sludge was triturated with dichloromethane - pet.ether (40-60) (1:4) and filtered through silica, rinsing thoroughly with the same solvent mixture. The solvent was evaporated to give 3,5 bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]styrene (181 mg, 93 %),  $\delta_H$  (500 MHz; CDC13) 1.40 (72 H, s, tBu), 5.37 (1 H, d, J 11, 8-H), 5.90 (1 H, d, J 16, 8-H), 6.82 (1 H, dd, J 11 and 16, 7-H), 7.19 (4 H, d, J 16, 7''-H), 7.29 (4 H, s, 7',8'-H), 7.30 (4 H, d, J 16, 8''-H), 7.40 (4 H, t, J 1.7, 1''-H), 7.44 (8 H, d, J 1.7, 3'',5''-H), 7.54 (2 H, d, J 1.3, 3,5-H), 7.65 (6 H, s, 1',3',5'-H), 7.70 (1 H, m, 1-H).

#### Second Example

Dry tetrahydrofuran (250 mL) was added to a mixture of methyltriphenylphosphonium iodide (9.87 g, 24.4 mmoles) and potassium t-butoxide (2.74 g, 24.4 mmoles) and stirred at room temperature for 25 minutes. 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (19.0 g, 16.3 mmoles) was then added, rinsing with dry tetrahydrofuran (60 mL), and the reaction mixture was stirred at room temperature for 2.3 h. Acetone (50 mL) was then added before all of the solvent was removed under vacuum. The crude product was triturated with dichloromethane -



-21-

pet.ether (40-60) (1:4) and filtered through silica, rinsing thoroughly with the same solvent mixture. Chromatography on flash silica using dichloromethane-pet.ether (60-80) (3:17) as the eluent was followed by

5 recrystallisation from dichloromethane/methanol to give 3,5-bis[3',5'-bis(3'',5''-di-*t*-butylstyryl)styryl]styrene (18.18 g, 96 %), m.p. 272-275°C (Found: C, 90.2; H, 9.3.  $C_{88}H_{108}$  requires C, 90.7; H, 9.3 %);  $\nu_{max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 1594 (C=C) and 965 (C=C-H trans);  $\lambda_{max}$  (CHCl<sub>3</sub>)/nm 313sh (log  $\epsilon$ /dm<sup>3</sup>

10 mol<sup>-1</sup> cm<sup>-1</sup> 5.19), 322 (5.23), and 336sh (5.12);  $\delta_H$  (400 MHz; CDCl<sub>3</sub>) 1.41 (72 H, s, *t*-Bu), 5.38 (1 H, d, *J* 11, *cv* H), 5.91 (1 H, d, *J* 18, *cv* H), 6.82 (1 H, dd, *J* 11 and 18, *cv* H), 7.19 and 7.31 (8 H, d, *J* 16, *G*-2 vinyl H), 7.29 (4 H, s, *G*-1 vinyl H), 7.40 (4 H, dd, *J* 2, *sp* H), 7.45 (8 H, d, *J*

15 2, *sp* H), 7.55 (2 H, s, *cp* H), 7.66 (6 H, s, *G*-1 phenyl H), 7.70 (1 H, s, *cp* H); *m/z* (MALDI) 1165 (*M*<sup>+</sup>, 100%).

1-bromo-3,5-bis(3',5'-di-*t*-butylstyryl)benzene

A mixture of 1,3,5-tribromobenzene (2.910 g, 9.24

20 mmoles), anhydrous sodium acetate (3.025 g, 37.0 mmoles) and 3,5-di-*t*-butylstyrene (4.000 g, 18.5 mmoles) was degassed under oil-pump vacuum, purging with argon. A solution of trans-Di(*m*-acetato)-bis[*o*-(di-*o*-tolylphosphino)benzyl] dipalladium (II) (10 mg, 0.01

25 mmoles) in anhydrous *N,N*-dimethylacetamide (10 ml) was degassed with argon and then added to the reaction mixture. The reaction mixture was heated in an oil-bath at 130°C for 16 hours and the temperature was then raised to ~140°C for a further 151 hours. On cooling, ether (100 ml) and distilled

30 water (100 ml) were added. The aqueous layer was separated and extracted with ether (3 × 30 ml). The combined organic fractions were washed with distilled water (3 × 30 ml),

-22-

dried over anhydrous sodium sulphate and evaporated. The crude product (5.329 g) was purified by column chromatography (200 ml, s, pet. ether (60-80)) to give pure 1-bromo-3,5-bis(3',5'-di-t-butylstyryl)benzene (2.012 g, 37 %), mp 155 C (Found: C, 78.13; H, 8.60. C<sub>38</sub>H<sub>49</sub>Br requires C, 77.93; H, 8.43%);  $\lambda_{\max}$  (CHCl<sub>3</sub>)/nm 309 ( $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 29300);  $\delta_{\text{H}}$  (500 MHz; CDCl<sub>3</sub>) 1.39 (36 H, s, tBu), 7.06 (2 H, d, J 16, 7'-H), 7.21 (2 H, d, J 16, 8'-H), 7.40 (6 H, s, 1',3',5'-H), 7.58 (3 H, s, 1,3,5-H);  $\delta_{\text{C}}$  (125 MHz; CDCl<sub>3</sub>) 31.46, 34.89, 121.03, 122.57, 123.22, 123.48, 126.39, 127.79, 131.39, 136.03, 139.93, 151.18.

3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde

First Example

A mixture of 3,5-dibromobenzaldehyde (10.00 g, 37.89 mmol), 3,5-di-t-butylstyrene (20.496 g, 94.73 mmol), anhydrous sodium acetate (12.40g, 151.56 mmol), trans-di(m-acetato)-bis[o-(di-o-tolylphosphino)benzyl]dipalladium (II) (72 mg, 0.077 mmol, 0.1 mole%) and anhydrous N,N-dimethylacetamide (60 ml) was degassed. The reaction mixture was then heated under argon at 130°C for 21 hours. After cooling, ether (200 ml) and distilled water (150 ml) were added. The aqueous layer was extracted with ether (3 x 40 ml) and the combined organic extracts were washed with distilled water (5 x 50 ml) and dried over anhydrous sodium sulphate. The sodium sulphate was extracted with dichloromethane (3 x 50 ml) and the combined organic fractions were evaporated. The crude product (24.886 g) was triturated thoroughly with pet. ether (60-80, 200 ml) and the solid was filtered and dried under vacuum to give 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (15.53 g, 77%).  $\delta_{\text{H}}$  (500 MHz; CDCl<sub>3</sub>) 1.39 (36 H, s, tBu),

-23-

7.19 (2 H, d,  $J$  16, 7'-H), 7.33 (2 H, d,  $J$  16, 8'-H), 7.41 (2H, t,  $J$  1.7, 1'-H), 7.43 (4 H, d,  $J$  1.7, 3',5'-H), 7.93 (1 H, m, 1-H), 7.95 (2 H, d,  $J$  1.5, 3,5-H), 10.10 (1 H, s, CHO).

5

### Second Example

A mixture of 3,5-dibromobenzaldehyde (31.7 g, 120 mmol), 3,5-di-*t*-butylstyrene (65.0 g, 301 mmol), anhydrous sodium carbonate (31.9 g, 301 mmol), trans-di( $\mu$ -acetato)-bis[o-(di-*o*-tolylphosphino)benzyl]dipalladium (II) (244 mg, 0.26 mmol, 0.1 mole%), 2,6-di-*t*-butylcresol (13.3 g, 60 mmol) and anhydrous *N,N*-dimethylacetamide (130 mL) was degassed thoroughly whilst stirring under oil-pump vacuum, purging with argon. The reaction mixture was then heated under argon at 130°C for 26.5 h. After cooling, ether (250 mL) and hydrochloric acid (1.5 M, 150 mL) were added carefully. A suspension remained in the organic layer, which was washed with distilled water (5 x 125 mL) and evaporated. The combined aqueous layers were extracted with ether (100 mL) and this organic extract was washed with distilled water (4 x 30 mL) and combined with the main organic fraction. The crude product was triturated thoroughly with cold pet. ether (40-60), filtered and recrystallized from dichloromethane/pet. ether (60-80) to give colourless crystals of 3,5-bis(3',5'-di-*t*-butylstyryl)benzaldehyde (44.61 g, 69%), m.p. 217-219°C (Found: C, 87.7; H, 9.7.  $C_{39}H_{50}O$  requires C, 87.6; H, 9.4%);  $\nu_{\max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 1698s (C=O), 1596s (C=C) and 964s (C=C-H trans);  $\lambda_{\max}$  (CHCl<sub>3</sub>)/nm 316 (log  $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 4.65);  $\delta_H$  (500 MHz; CDCl<sub>3</sub>) 1.41 (36 H, s, *t*-Bu), 7.20 and 7.34 (4 H, d,  $J$  16, vinyl H), 7.43 (2H, dd,  $J$  2, sp H), 7.44 (4 H, d,  $J$  2, sp H), 7.94 (1 H, m, cp H), 7.95 (2 H, d,  $J$  1.5, cp H) and

-24-

10.11 (1 H, s, CHO); m/z (APCI+) 535 (M+, 100%).

3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde

5 First Example

A mixture of 3,5-bis(3',5'-di-t-butylstyryl)styrene (976 mg, 1.83 mmol), 3,5-dibromobenzaldehyde (193 mg, 0.732 mmol), anhydrous sodium acetate (240 mg, 2.93 mmol), trans-di(m-acetato)-bis[o-(di-o-tolylphosphino)benzyl] dipalladium (II) (20 mg, 0.021 mmol, 0.1 mole%) and anhydrous N,N-dimethylacetamide (4 ml) was degassed. The reaction mixture was then heated in an oil-bath at 135°C under argon for 16 hours. The reaction mixture was then poured into a rapidly stirred mixture of water (30 ml) and pet. ether (60-80) (50 ml). The precipitate was filtered and the crude product (518 mg) was recrystallized from dichloromethane/pet. ether (60-80) to give a white powder of 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (407 mg, 48 %).  $\delta_H$  (500 MHz; CDC13) 1.40 (72 H, s, tBu), 7.19 (4H, d, J 16, 7''-H), 7.31 (4 H, d, J 16, 8''-H), 7.34 (2H, d, J 16, 7'-H), 7.37 (2 H, d, J 16, 8'-H), 7.40 (4 H, t, J 1.6, 1''-H), 7.45 (8 H, d, J 1.6, 3'',5''-H), 7.66 (4H, s, 3',5'-H), 7.67 (2H, s, 1'-H), 7.99 (3 H, s, 1,3,5-H), 10.13 (1 H, s, CHO).

25 Second Example

A mixture of 3,5-dibromobenzaldehyde (8.21 g, 31.1 mmol), 3,5-bis(3',5'-di-t-butylstyryl)styrene (41.4 g, 77.7 mmol), anhydrous sodium carbonate (6.59 g, 62.2 mmol), trans-di(p-acetato)-bis[o-(di-o-tolylphosphino)benzyl]dipalladium (II) (58.3 mg, 0.062 mmol, 0.1 mole%), 2,6-di-t-butylcresol (1.71 g, 7.77

-25-

mmoles) and anhydrous *N,N*-dimethylacetamide (100 mL) was degassed extremely thoroughly whilst stirring under oil-pump vacuum, purging with argon. The reaction mixture was then heated under argon at 130 °C for 17 hours. After  
 5 further addition of anhydrous *N,N*-dimethylacetamide (100 mL), the reaction mixture was degassed for a second time and then heated at 130°C for a further 5.5 hours. Further anhydrous *N,N*-dimethylacetamide (100 mL) was then added and the reaction was continued for a further 1 hour. The  
 10 resulting sludge was washed with distilled water (3 x 250 mL) and dissolved in dichloromethane (1500 mL). The organic fraction was washed with distilled water (500 mL), dried over anhydrous sodium sulphate and evaporated to give a cream solid. The crude product was recrystallized from  
 15 dichloromethane/pet. ether (60-80) three times before chromatography on flash silica using chloroform-pet. ether (60-80) (2:3) as the eluent gave a white solid of 3,5-bis[3',5'-bis(3'',5''-di-*t*-butylstyryl)styryl]benzaldehyde (25.2 g, 69%);  $\delta_H$  (500 MHz; CDCl<sub>3</sub>) 1.41 (72 H, s, *t*-Bu), 7.20  
 20 and 7.32 (8 H, d, *J* 16, G-2 vinyl H), 7.34 and 7.38 (4 H, d, *J* 16.5, G-1 vinyl H), 7.42 (4 H, dd, *J* 2, sp H), 7.46 (8 H, d, *J* 2, sp H), 7.67 (4 H, s, G-1 bp H), 7.69 (2 H, s, G-1 bp H), 8.00 (3 H, s, cp H) and 10.14 (1 H, s, CHO) which is identical to that reported.

25

3,5-bis[3',5'-bis[3'',5''-bis(3''',5'''-di-*t*-butylstyryl)styryl]styryl]benzaldehyde  
First Example

A mixture of 3,5-bis[3',5'-bis(3'',5''-di-*t*-  
 30 butylstyryl)styryl]styrene (417 mg, 0.36 mmoles), 3,5-dibromobenzaldehyde (45 mg, 0.17 mmoles), anhydrous sodium acetate (56 mg, 0.681 mmoles), trans-di(*m*-acetato)-bis[*o*-

-26-

(di-o-tolylphosphino)benzyl] dipalladium (II) (16 mg, 0.017 mmols, 5 mole%) and anhydrous N,N-dimethylacetamide (6 ml) was degassed. The reaction mixture was then heated in an oil-bath at 135°C under argon for 10 hours. After cooling, 5 dichloromethane (15 ml) and distilled water (15 ml) were added. The aqueous layer was extracted with dichloromethane (2 x 10 ml) and the combined organic fractions were washed with distilled water (2 x 10 ml), dried over anhydrous sodium sulphate and evaporated to give a brown oil. This 10 crude oil (782 mg) was chromatographed on flash silica using dichloromethane-pet. ether (60-80) (1:3) as the eluent to give a white solid that co-chromatographed with and had identical 1H n.m.r. to 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]styrene (79 mg, 19%). Crude product 15 (304 mg) was also isolated and further chromatographed on flash silica using dichloromethane-pet. ether (60-80) (1:3, increasing to 1:9) as the eluent to give 3,5-bis[3',5'-bis[3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl]styryl]benzaldehyde (181 mg, 44 %).

20

#### Second Example

A mixture of 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]styrene (4.87 g, 4.18 mmols), 3,5-dibromobenzaldehyde (441 mg, 1.67 mmols), anhydrous sodium 25 carbonate (354 mg, 3.34 mmols), 2,6-di-t-butylcresol (122 mg, 0.554 mmols), trans-di( $\mu$ -acetato)-bis[o-(di-o-tolylphosphino)benzyl]dipalladium (II) (3.1 mg, 0.003 mmols) and anhydrous N,N-dimethylacetamide (30 mL) was degassed very thoroughly under oil-pump vacuum, purging with argon. The reaction mixture was then heated in an oil- 30 bath at 130°C under argon for 39 hours. After cooling, dichloromethane (200 mL), distilled water (200 mL) and

-27-

hydrochloric acid (3M, 50 mL) were added. The aqueous layer was extracted with dichloromethane (3 x 30 mL) and the combined organic fractions were washed with distilled water (3 x 250 mL), dried over anhydrous sodium sulphate and the solvent removed under vacuum. This crude product (6.35 g) was purified by chromatography on flash silica using dichloromethane-pet. ether (60-80) (1:4) as the eluent followed by recrystallisation from cold dichloromethane/methanol to yield a white solid of 3,5-bis(3',5'-bis[3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl]styryl)benzaldehyde (1.04 g, 26 %), m.p. 248-253°C; (Found: C, 89.65; H, 8.8.  $C_{183}H_{218}O$  requires C, 90.3; H, 9.0%);  $\nu_{max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 1700 (C=O), 1594 (C=C), and 965 (C=C-H trans);  $\lambda_{max}$  (CHCl<sub>3</sub>)/nm 323 (log  $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 5.56) and 340sh (5.46);  $\delta_H$  (500 MHz; CDCl<sub>3</sub>) 1.39 (144 H, s, t-Bu), 7.20 and 7.31 (16 H, d, *J* 16, G-3 vinyl H), 7.31-7.40 (28 H, s, G-1 and G-2 vinyl H and sp H), 7.44 (16 H, d, *J* 1.4, sp H), 7.67 (12 H, s, G-2 bp H), 7.70 (4 H, s, G-1 bp H), 7.76 (2 H, s, G-1 bp H), 7.99 (1 H, s, cp H), 8.04 (2 H, d, *J* 1, cp H), 10.17 (1 H, s, CHO); m/z (MALDI) 2433 ((M+Na)<sup>+</sup>, 100%).

1,4-phenylenebis(3,5-bis[3,5-di-t-butylstyryl]benzene)

A mixture of distyryl bromide (644 mg, 1.1 mmoles) and phenylene-1,4-bis(tributylstannane) (361 mg, 0.55 mmoles) was degassed and then heated under argon in an oil-bath at 95°C. A solution of trans-di(m-acetato)-bis[o-(di-o-tolylphosphino)benzyl] dipalladium (II) (20 mg, 0.02 mmoles) in anhydrous N,N-dimethylacetamide (10 ml) was added and the reaction mixture was stirred under argon in an oil-bath at 105°C for 42 hours. Ether (100 ml) and distilled water (100 ml) were then added and the layers

-28-

separated. The aqueous layer was extracted with ether (3 × 30 ml) and the combined organic fractions were washed with distilled water (3 × 30 ml), dried over anhydrous sodium sulphate and evaporated to dryness. The crude product (812 mg) was chromatographed on flash silica using dichloromethane - pet. ether (60-80) (1:19) as the eluent to give pure 1,4-phenylenebis(3,5-bis[3,5-di-t-butylstyryl]benzene) (116 mg, 19 %). (Found: C, 90.07; H, 9.55. C<sub>82</sub>H<sub>102</sub> requires C, 90.55; H, 9.45 %);  $\lambda_{\max}$ (CHCl<sub>3</sub>)/nm 315 ( $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 108000);  $\delta_{\text{H}}$ (500 MHz; CDCl<sub>3</sub>) 1.40 (72 H, s, tBu), 7.23 (4 H, d, J 16, 7''-H), 7.32 (4 H, d, J 16, 8''-H), 7.40 (4 H, t, J 1.7, 1''-H), 7.45 (8 H, d, J 1.7, 3'',5''-H), 7.73 (4 H, s, 3',5'-H), 7.73 (2 H, s, 1'-H), 7.81 (4 H, s, 2,3,5,6-H).

15

1,4-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzene  
(1-DSB):

First Example

A solution of tetramethyl-xylylenebisphosphonate (287 mg, 0.890 mmoles) in dry N,N-dimethylformamide (3 ml) was added to a slurry of sodium hydride (75 mg, 60 % in mineral oil, 1.87 mmoles) in dry N,N-dimethylformamide (2 ml) under nitrogen and stirred at room temperature for 1 hour. A suspension of 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (1.000 g, 1.87 mmoles) in dry N,N-dimethylformamide (6 ml) was then added and the reaction mixture stirred in the dark under nitrogen at room temperature for 68 hours. After cooling, ether (50 ml) and distilled water (50 ml) were added. The aqueous layer was extracted with ether (4 × 30 ml) and the combined organic extracts were washed with distilled water (6 × 100 ml), dried over anhydrous sodium sulphate and evaporated. The crude product (1.147 g) was



-29-

chromatographed on flash silica using dichloromethane-pet.ether (60-80) (1:9) as the eluent to give 1,4-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzene (614 mg, 61 %), mp 280°C (Found: C, 89.90; H, 9.33. C<sub>86</sub>H<sub>106</sub> requires C, 90.63; H, 9.37%);  $\lambda_{\max}$ (CHCl<sub>3</sub>)/nm 325 ( $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> 117000), and 367 (75100);  $\delta_H$ (500 MHz; CDCl<sub>3</sub>) 1.40 (72 H, s, tBu), 7.18 (4 H, d, J 16, 7''-H), 7.29 (4H, d, J 16, 8''-H), 7.22 (2H, d, J 16, 7'-H), 7.27 (2H, d, J 16, 8'-H), 7.40 (4H, t, J 1.7, 1''-H), 7.44 (8H, d, J 1.7, Ar 3'',5''-H), 7.60 (4H, s, 2,3,5,6-H), 7.62 (4H, m, 3',5'-H), 7.64 (2H, m, 1'-H).

#### Second Example

Dry THF (3 ml) was added to a mixture of 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (100 mg, 0.187 mmol), tetramethyl-xyllylenebisphosphonate (28.7 mg, 0.089 mmol) and potassium t-butoxide (25 mg, 0.223 mmol) under nitrogen and stirred at room temperature in the dark for 16 hours. The solvent was removed under vacuum and ether (30 ml) and distilled water (30 ml) were added. The organic layer was washed with distilled water (3 x 30 mL), dried over anhydrous sodium sulphate and evaporated. The crude product (107 mg) was isomerised with catalytic iodine in refluxing toluene for 17 hours. The solvent was removed under vacuum and the crude product was purified by chromatography on flash silica using dichloromethane-pet.ether (60-80)-triethylamine (20:180:1) as the eluent to give 1-DSB (58 mg, 57%), m.p. 280°C with microanalysis i/r, u/v, NMR and mass spectrographic data substantially identical to that of the first example.

1,4-Bis[3',5'-bis[3'',5''-bis(3''',5'''-di-t-

-30-

butylstyryl)styryl)styryl)benzene (2-DSB):First Example

Dry N,N-dimethylformamide (20 ml) was added to a mixture of tetramethyl xylylenebisphosphonate (269 mg, 0.835 mmoles) and sodium hydride (60 % in mineral oil, 240 mg, 6.00 mmoles) and stirred under nitrogen until it had formed a dirty yellow solution. A solution of 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (2.000 g, 1.71 mmoles) in dry tetrahydrofuran (45 ml) was then added slowly and the reaction mixture was stirred under nitrogen at room temperature for 17 hours. On cooling, ether (100 ml) and distilled water (50 ml) were added. The organic layer was separated, washed with distilled water, dried over anhydrous sodium sulphate and evaporated to dryness. The crude product (6.087 g) was chromatographed on flash silica using dichloromethane - pet. ether (60-80) (3:17) as the eluent. The pure dendrimer fractions were evaporated and, after recrystallisation from dichloromethane - pet. ether (60-80), gave 1,4-bis(3',5'-bis[3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl]styryl)benzene (301 mg, 15 %). (Found: C, 90.17; H, 9.17. C<sub>182</sub>H<sub>218</sub> requires C, 90.87; H, 9.13%);  $\lambda_{\text{max}}$ (CHCl<sub>3</sub>)/nm 323 ( $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 307000) and 367sh (95200);  $\delta_{\text{H}}$ (500 MHz; CDCl<sub>3</sub>) 1.41 (144 H, s, tBu), 7.20 (8 H, d, J 16, 7'''-H), 7.22-7.33 (20 H, m, 8''',7'',8'',7',8'-H), 7.41 (8 H, t, J 1.6, 1'''-H), 7.46 (16 H, d, J 1.6, 3''',5'''-H), 7.63-7.72 (22 H, m, 1'',3'',5'',1',3',5',2,3,5,6-H).

Second Example

Dry THF (3 ml) was added to a mixture of 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde

-31-

(100 mg, 0.086 mmoles), tetramethyl-xylylenebisphosphonate (13.1 mg, 0.041 mmoles) and potassium *t*-butoxide (11.4 mg, 0.102 mmoles) under nitrogen and stirred at room temperature in the dark for 15 hours. The solvent was removed under vacuum and ether (30 ml), DCM (20 ml) and distilled water (30 ml) were added. The organic layer was washed with distilled water (2 x 30 mL), dried over anhydrous sodium sulphate and evaporated. The crude product was isomerised with catalytic iodine in refluxing toluene for 17 hours. The solvent was removed under vacuum and the crude product was purified by chromatography on flash silica using dichloromethane-pet.ether (60-80)-triethylamine (20:80:1) as the eluent to give 2-DSB (58 mg, 59%), m.p. 314°C; (Found: C, 90.2; H, 9.2. C<sub>182</sub>H<sub>218</sub> requires C, 90.9; H, 9.1%);  $\nu_{\max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 1593 (C=C) and 965 (C=C-H trans);  $\lambda_{\max}$  (CHCl<sub>3</sub>)/nm 323 (log  $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 5.49), 336sh (5.43), 370sh (4.97), and 392sh (4.70);  $\delta_H$  (500 MHz; CD<sub>2</sub>Cl<sub>2</sub>) 1.40 (144 H, s, *t*-Bu), 7.24 and 7.34 (16 H, d, *J* 16, G-2 vinyl H), 7.31 and 7.36 (4 H, d, *J* 16, core vinyl H), 7.38 (8 H, s, G-1 vinyl H), 7.41 (8 H, dd, *J* 2, sp H), 7.47 (16 H, d, *J* 1.6, sp H), 7.68 (2 H, s, cp H), 7.70 (12 H, s, G-1 phenyl H), and 7.73-7.75 (6 H, branch cp H); *m/z* (MALDI) 2405 (M<sup>+</sup>, 100%).

25 1,4-bis(3',5'-bis(3'',5''-bis(3''',5'''-bis(3''''',5''''-di-  
t-butylstyryl)styryl)styryl)styryl) benzene (3-DSB):  
First Example

Dry N,N-dimethylformamide (1.5 ml) was added to a mixture of tetramethyl-xylylenebisphosphonate (67 mg, 0.21 mmoles) and sodium hydride (60 % in mineral oil, 21 mg, 0.52 mmoles) under nitrogen and stirred under nitrogen for 25 minutes. A

-32-

3,5-bis(3',5'-bis(3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl)styryl)benzaldehyde (1.000 g, 0.415 mmoles) solution in dry N,N-dimethylformamide (7 ml) was then added slowly and the reaction mixture was stirred under nitrogen at room temperature in the dark for 18 hours. On cooling, ether (20 ml) and distilled water (20 ml) were added. The aqueous layer was extracted with ether (3 x 10 ml) and the combined organic fractions were washed with distilled water (5 x 20 ml), dried over anhydrous sodium sulphate and evaporated to dryness. The crude product (979 mg) was chromatographed on flash silica using dichloromethane - pet. ether (60-80) (1:4) as the eluent to give crude 1,4-bis(3',5'-bis(3'',5''-bis(3''',5'''-bis(3''',5'''-di-t-butylstyryl)styryl)styryl)styryl)benzene (714 mg, 70 %).

#### Second Example

Dry THF (7 mL) was added to a mixture of 3,5-bis(3',5'-bis(3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl)styryl)benzaldehyde (239 mg, 0.099 mmoles), tetramethyl-xylylenebisphosphonate (15.2 mg, 0.047 mmoles) and potassium t-butoxide (22.3 mg, 0.198 mmoles) under nitrogen and stirred at room temperature in the dark for 16 hours. The solvent was removed under vacuum and ether (20 ml) and distilled water (15 ml) were added. The organic layer was washed with distilled water (2 x 15 mL), dried over anhydrous sodium sulphate and evaporated. The crude product (256 mg) was purified by chromatography on flash silica using dichloromethane-pet. ether (60-80) - triethylamine (30:70:1) as the eluent to give impure [G-3],DSB. This was isomerised with catalytic iodine in refluxing toluene for 16 hours. The solvent was removed

-33-

under vacuum and the crude product was purified by chromatography on flash silica using dichloromethane-pet. ether (60-80) -triethylamine (20:80:1) as the eluent to give [G-3]<sub>2</sub>DSB 11 (129 mg, 55%); (Found: C, 90.7; H, 9.4.

5 C<sub>374</sub>H<sub>442</sub> requires C, 91.0; H, 9.0%);  $\nu_{\max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 1594 (C=C) and 965 (C=C-H trans);  $\lambda_{\max}$  (CHCl<sub>3</sub>)/nm 323 (log  $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 5.88), 336sh (5.43), 370sh (4.97), and 392sh (4.70);  $\delta_H$  (500 MHz; CD<sub>2</sub>Cl<sub>2</sub>) 1.37 (288 H, s, t-Bu), 7.22 and 7.33 (32 H, d, J 16, G-3 vinyl H), 7.25 and 7.40 (4 H, core vinyl H), 7.38 (16 H, dd, sp H), 7.40 (16 H, s, G-2 vinyl H), 7.42 (8 H, s G-1 vinyl H), 7.45 (32 H, d, J 1.5, sp H), 7.70 (28 H, s, cp H and G-2 phenyl H), 7.77 and 7.79 (18 H, s, G-1 phenyl H and branch cp H).

15 5,10,15,20-tetrakis[3',5'-bis(3'',5''-di-t-butylstyryl)phenyl]porphyrin (1-Porphyrin):  
First Example

Dry dichloromethane (850 ml) was distilled into a flask containing pyrrole (550 ml, 8.4 mmoles) and 3,5-  
 20 bis(3',5'-di-t-butylstyryl)benzaldehyde (4.500 g, 8.414 mmoles) under nitrogen. Trifluoroacetic acid (650 ml, 8.4 mmoles) was then added and the reaction mixture stirred in the dark under nitrogen for 65 hours. DDQ (1.433 g, 6.31 mmoles) was then added and the reaction mixture stirred for  
 25 a further 50 minutes. The solvent was then removed and the remaining solid was chromatographed on flash silica using triethylamine - dichloromethane - pet. ether (40-60) (1:2:17) as eluent. The leading fluorescent fraction was evaporated, recrystallized from dichloromethane - methanol  
 30 and dried under vacuum to give purple crystals of crude 5,10,15,20-tetrakis[3',5'-bis(3'',5''-di-t-butylstyryl)phenyl]porphyrin (987 mg, 20 %).  $\lambda_{\max}$  (film)/nm

-34-

305, 433, 521, 556, 598;  $\delta_H$ (500 MHz; CDCl<sub>3</sub>) -2.61 (2H, s, NH), 1.35 (144 H, s, tBu), 7.3-7.5 (40 H, m, 1'',3'',5'',7'',8''-H), 8.14 (4 H, m, 1'-H), 8.33 (8 H, d, J 1.4, 3',5'-H), 9.06 (8 H, s, C-2 + C-3).

5

### Second Example

A solution of 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (100 mg, 0.19 mmol), distilled pyrrole (13  $\mu$ l, 0.19 mmol) and trifluoroacetic acid (14.5  $\mu$ l, 0.19 mmol) in dry dichloromethane (14 mL) under nitrogen was stirred in the dark for 66 hours. 2,3-Dichloro-5,6-dicyano-1,4-quinone (146 mg, 0.64 mmol) was added and the reaction mixture was stirred for a further 40 minutes. Sodium hydrogencarbonate (1.0 g, 11.9 mmol) was added and the solvent was removed under vacuum. The crude product was chromatographed on flash silica using dichloromethane - pet. ether (40-60) (3:17) as the eluent. The solvent was removed to give a dark solid of 1-porphyrin (36 mg, 33 %), m.p. decomp. 300°C (Found: C, 88.2; H, 8.9; N, 2.0. C<sub>172</sub>H<sub>206</sub>N<sub>4</sub> requires C, 88.7; H, 8.9; N, 2.4 %);  $\nu_{\max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 3322 (NH), 1593 (C=C) and 964 (C=C-H trans);  $\lambda_{\max}$  (CHCl<sub>3</sub>)/nm 311 ( $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 5.40), 412sh (4.98), 431 (5.82), 520 (4.46), 555 (3.95), 592 (3.82), 648 (3.63);  $\delta_H$ (500 MHz; CDCl<sub>3</sub>) -2.61 (2H, s, NH), 1.35 (144 H, s, t-Bu), 7.37 (8 H, bdd, sp H), 7.41 (8 H, d J 16, vinyl H), 7.44-7.47 (24 H, vinyl and sp H), 8.15 (4 H, bs, branch cp H), 8.34 (8 H, d, J 1.2, branch cp H), 9.06 (8 H, s, pyrrolic H); m/z (MALDI) 2330 (M<sup>+</sup>, 100%).

30

5,10,15,20-tetrakis(3',5'-bis[3'',5''-bis(3''',5'''-di-t-

-35-

butylstyryl)styryl]phenyl)porphyrin (2-Porphyrin):First Example

Dry dichloromethane (350 ml) was distilled into a flask containing pyrrole (240 ml, 3.4 mmol) and 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (4.000 g, 3.4 mmol) under nitrogen. Trifluoroacetic acid (265 ml, 3.4 mmol) was then added and the reaction mixture stirred in the dark under nitrogen for 65 hours. DDQ (583 mg, 2.57 mmol) was then added and the reaction mixture stirred for a further 45 minutes. The solution was then condensed and washed with sodium bicarbonate solution (sat., 3 x 100 ml) and distilled water (2 x 100 ml), dried over anhydrous sodium sulphate and evaporated to give crude 10,15,20-tetrakis(3',5'-bis[3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl]phenyl)porphyrin (601 mg, 14 %)  $\delta_H$  (500 MHz; CDCl<sub>3</sub>) -2.51 (2 H, s, NH), 1.31 (144 H, s, tBu), 7.14 (16 H, d, J 16, 7'''-H), 7.25 (16 H, d, J 16, 8'''-H), 7.31 (16 H, t, 1'''-H), 7.37 (32 H, d, J 1.7, 3''',5'''-H), 7.49 (8 H, d, J 16, 7''-H), 7.57 (8 H, d, J 16, 8''-H), 7.63 (8 H, s, 1''-H), 7.66 (16 H, s, 3'',5''-H), 8.26 (4 H, s, 1'-H), 8.40 (8 H, s, 3',5'-H), 9.14 (8 H, s, 2,3,7,8,12,13,17,18-H).

Second Example

Dry dichloromethane (90 mL) was distilled into a flask containing pyrrole (58  $\mu$ l, 0.86 mmol) and 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (1.00 g, 0.86 mmol) under nitrogen. Trifluoroacetic acid (66  $\mu$ l, 0.86 mmol) was then added and the reaction mixture stirred in the dark under nitrogen for 5 days. 2,3-dichloro-5,6-dicyano-1,4-quinone (146 mg, 0.64 mmol) was then added and the reaction mixture stirred for a further

-36-

40 minutes. The solution was washed with saturated sodium bicarbonate solution and this aqueous fraction was extracted with dichloromethane (2 x 30 mL). The combined organic fractions were washed with distilled water (4 x 50 mL), dried over anhydrous sodium sulphate and evaporated. The crude product was chromatographed on flash silica using DCM-pet.ether (60-80) (3:17) as the eluent to give a brown solid of 2-porphyrin (249 mg, 24%), m.p. decomp. 292°C; (Found: C, 89.05; H, 8.7; N, 1.1.  $C_{364}H_{430}N_4$  requires C, 89.9; H, 8.9; N, 1.15 %);  $\nu_{max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 3321 (NH), 1593 (C=C) and 964 (C=C-H trans);  $\lambda_{max}$  (CHCl<sub>3</sub>)/nm 320 (log $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 5.86), 411 (5.06), 431 (5.78), 519 (4.42), 555 (4.01), 592 (3.89) and 648 (3.67);  $\delta_H$  (500 MHz; CDCl<sub>3</sub>) -2.50 (2 H, s, NH), 1.33 (144 H, s, t-Bu), 7.17 and 7.28 (32 H, d, J 16, G-2 vinyl H), 7.34 (16 H, dd, J 2, sp H), 7.39 (32 H, d, J 2, sp H), 7.52 and 7.60 (16 H, d, J 16, G-1 vinyl H), 7.66 (8 H, s, G-2 phenyl H), 7.69 (16 H, s, G-2 phenyl H), 8.29 (4 H, s, G-1 phenyl H), 8.43 (8 H, s, G-1 phenyl H), 9.17 (8 H, s, pyrrolic H); m/z (MALDI) 4861 (M<sup>+</sup>, 100%).

9,10-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]anthracene (1-Anthracene):  
First Example

Dry N,N-dimethylformamide (10 ml) was added to a rapidly stirred mixture of 9,10-bis(dimethylmethylphosphonate)anthracene (395 mg, 0.935 mmols), potassium t-butoxide (220 mg, 1.963 mmols) and 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (1.000 g, 1.87 mmols) under nitrogen and stirred at room temperature for 90 minutes. Distilled methanol (60 ml) was then added and the precipitate filtered and dried under suction. The crude product (925 mg) was recrystallized from dichloromethane -



-37-

methanol and then chromatographed on flash silica using dichloromethane - pet. ether (40-60) (1:3) as the eluent. The solvent was removed to give 9,10-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]anthracene (206 mg, 18%).

5 lamdamax(film)/nm 308, 420.

### Second Example

Dry tetrahydrofuran (2 mL) was added to a rapidly stirred mixture of 9,10-

10 bis(dimethylmethylphosphonate)anthracene (39.5 mg, 0.94 mmoles), potassium t-butoxide (22 mg, 0.20 mmoles) and 3,5-bis(3',5'-di-t-butylstyryl)benzaldehyde (100 mg, 0.19 mmoles) and stirred at room temperature for 85 minutes. The

15 solvent was then removed and the crude product (925 mg) was chromatographed on flash silica using dichloromethane - pet. ether (40-60) (3:17) as the eluent. The solvent was removed to give a yellow solid of 1-anthracene (63 mg, 54%), m.p. decomp. 284-294°C (Found: C, 90.6; H, 9.2. C<sub>94</sub>H<sub>110</sub> requires C, 91.1; H, 8.9 %);  $\nu_{\max}$  (CHCl<sub>3</sub>)/cm<sup>-1</sup> 1593 (C=C) and

20 964 (C=C-H trans);  $\lambda_{\max}$  (CHCl<sub>3</sub>)/nm 269 (log $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 4.26), 318 (4.30) and 415 (3.60);  $\delta_H$  (400 MHz; CDCl<sub>3</sub>) 1.40 (72 H, s, t-Bu), 7.05 and 8.10 (4 H, d, *J* 16, core vinyl H), 7.25 and 7.35 (8 H, d, *J* 16, G-1 vinyl H), 7.40 (4 H, dd, *J* 2, sp H), 7.42 (8 H, d, *J* 2, sp H), 7.55 (4 H, m,

25 anthracenyl H), 7.76 (2 H, s, branch cp H), 7.78 (4 H, s, branch cp H), 8.10 (2 H, d, *J* 16, 8'-H) and 8.50 (4 H, m, anthracenyl H); m/z (MALDI) (M<sup>+</sup>, 100%).

30 9,10-bis(3',5'-bis[3'',5''-bis(3''',5'''-di-t-butylstyryl)styryl]styryl]anthracene  
(2-Anthracene):

First Example

Dry tetrahydrofuran (10 ml) was added to a mixture of 9,10-bis(dimethylmethylphosphonate)anthracene (181 mg, 0.43 mmols), 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (1.000 g, 0.856 mmols) and potassium t-butoxide (101 mg, 0.90 mmols) and stirred at room temperature for 90 minutes. The reaction mixture was then poured into methanol (60 ml) and the precipitate filtered and recrystallized from dichloromethane-pet. ether (60-80) to give crude 9,10-bis(3',5'-bis[3'',5''-bis(3'',5''-di-t-butylstyryl)styryl]styryl)anthracene (661 mg, 62 %).  $\delta_H$  (500 MHz; CDCl<sub>3</sub>) 1.40 (144 H, s, tBu), 7.08 (2 H, d, J 16, 7'-H), 7.20 (8 H, d, J 16, 7'''-H), 7.32 (8 H, d, J 16, 8'''-H), 7.39 (16 H, s, 1''', 7'', 8''-H), 7.45 (16 H, d, J 1.6, 3''', 5'''-H), 7.58 (4 H, dd, J 3.2 and 6.9, 2,3,6,7-H), 7.67 (4 H, s, 1''-H), 7.70 (8 H, s, 3'',5''-H), 7.82 (2 H, s, 1'-H), 7.84 (4 H, s, 3',5'-H), 8.13 (2 H, d, J 16, 8'-H), 8.52 (4 H, dd, J 3.3 and 6.8, 1,4,5,8-H)

20

Second Example

Dry tetrahydrofuran (1.5 mL) was added to a mixture of 9,10-bis(dimethylmethylphosphonate)anthracene (12 mg, 0.03 mmols), 3,5-bis[3',5'-bis(3'',5''-di-t-butylstyryl)styryl]benzaldehyde (66.3 mg, 0.06 mmols) and potassium t-butoxide (7 mg, 0.06 mmols) and stirred in the dark at room temperature for 75 minutes. The crude reaction mixture was evaporated onto silica and purified by Medium Pressure Liquid Chromatography using dichloromethane-pet. ether (60-80) (3:17) as the eluent to give a yellow solid of 2-anthracene (26 mg, 37%), m.p. decomp. 292°C; (Found: C, 90.9; H, 9.25. C<sub>190</sub>H<sub>222</sub> requires C, 91.1; H, 8.9%);  $V_{max}$

30

-39-

(CHCl<sub>3</sub>)/cm<sup>-1</sup> 1593 (C=C) and 965 (C=C-H trans);  $\lambda_{\text{max}}$  (CHCl<sub>3</sub>)/nm 271 (log $\epsilon$ /dm<sup>3</sup> mol<sup>-1</sup> cm<sup>-1</sup> 4.91), 322 (5.24) and 413 (4.41);  $\delta_{\text{H}}$  (500 MHz; CDCl<sub>3</sub>) 1.40 (144 H, s, t-Bu), 7.08 and 8.13 (4 H, d, J 16, core vinyl H), 7.20 and 7.32 (16 H, d, J 16, G-2 vinyl H), 7.39 (16 H, s, sp and G-1 vinyl H), 7.45 (16 H, d, J 2, sp H), 7.58 (4 H, m, anthracenyl H), 7.67 (4 H, s, G-1 phenyl H), 7.70 (8 H, s, G-1 phenyl H), 7.82 (2 H, s, branch cp H), 7.84 (4 H, s, branch cp H), 8.52 (4 H, m, anthracenyl H); m/z (MALDI) 2505 (M<sup>+</sup>, 100%).

10        The invention is further illustrated by the following  
Summary Table, which indicates some of the different  
colours achievable and the increase in different external  
quantum efficiencies QE<sub>ext</sub> with different dendritic  
generations in the branches of dendrimers incorporated in a  
15    light emitting device with contact of the indicated metal.

SUMMARY TABLE

(N-class) Dendrimer	$\lambda_{\text{max}}$ (EL) (nm)	$QE_{\text{ext}}$ (%)	Contact
1-DSB	510	0.01	Ca
2-DSB	460	0.09	Ca
3-DSB		0.03	Ca
1-Anthracene	590		Ca
		0.02	Al
2 - Anthracene (impure)	590		Ca
		0.04	Al
1-Porphyrin	670	0.02	Ca
	734	0.02	Al
2-Porphyrin (impure)	670		Ca
	734	0.04	Al

## Device 1

1-DSB (10 mg) in spectrophotometric grade chloroform (0.5 ml) was spin-coated at 1900 RPM for 60 seconds onto an ITO covered glass slide to give a thickness of 150 nm. The sample was immediately transferred to the evaporator. Calcium (100 nm) and aluminium (80 nm) were then sequentially deposited to complete the device. The external quantum efficiency was found to be 0.01%.

## Device 2

2-DSB (10 mg) in spectrophotometric grade chloroform (0.5 ml) was spin-coated at 1900 RPM for 60 seconds onto an ITO covered glass slide to give a thickness of 140 nm. The sample was immediately transferred to the evaporator. Calcium (100 nm) and aluminium (80 nm) were then sequentially deposited to complete the device. The external

-41-

quantum efficiency was found to be 0.09%.

Device 3

1-Anthracene (12 mg) in spectrophotometric grade  
chloroform (0.5 ml) was spin-coated at 1900 RPM for 60  
5 seconds onto an ITO covered glass slide to give a thickness  
of 220 nm. The sample was immediately transferred to the  
evaporator. Aluminium (100 nm) was then deposited to  
complete the device. The external quantum efficiency was  
found to be 0.02%.

10 Device 4

1-Porphyrin (9 mg) in spectrophotometric grade  
chloroform (0.5 ml) was spin-coated at 1900 RPM for 60  
seconds onto an ITO covered glass slide to give a thickness  
of 150 nm. The sample was immediately transferred to the  
15 evaporator. Aluminium (100 nm) was then deposited to  
complete the device. The external quantum efficiency was  
found to be 0.02%.

Further illustration of the present invention may be  
gained by reference to the accompanying drawings, wherein  
20 Figure 1 shows schematically one form of light emitting  
device comprising a glass substrate 10 coated with a  
transparent ITO anode 20 overlying which is a layer 30 of  
dendrimer according to the invention, with a metal cathode  
40 of Ca or Al on the dendrimer surface remote from the  
25 anode 20;

Figure 2 is a self-explanatory graph comparing the  
electroluminescence (EL) and photoluminescence (PL) of a

-42-

first-generation distyrylbenzene dendrimer (1-DSB);  
Figure 3 similarly compares EL and PL spectra (offset for  
clarity) of a first-generation dendrimer having an  
anthracene core with stilbene dendritic branches (herein  
5 identified as 1-Anthracene);  
Figure 4 similarly compares EL and PL of a corresponding  
first-generation porphyrin-core dendrimer (1-Porphyrin);  
Figure 5 compares the EL of 1-DSB with that of second-  
generation 2-DSB;  
10 Figure 6 shows the electrical and light-emitting  
performance of an LED using  
1-Anthracene dendrimer between ITO and Al electrodes;  
Figure 7 similarly shows the performance of a corresponding  
light emitting device using 1-Porphyrin dendrimer between  
15 ITO and Ca electrodes; and  
Figure 8 confirms the diode electrical characteristics of a  
light emitting device using 1-DSB between ITO and Ca  
electrodes.

Specific examples of dendrimers according to the  
20 present invention, and of materials and methods for  
preparing them are further illustrated by the accompanying  
self-explanatory formula Diagrams 3 to 8. The dendrimers  
according to this invention are not necessarily pure  
compounds. Working light emitting device can be made using  
25 dendrimers containing impurities generated during the  
synthesis, which may in some cases be difficult to remove.

-43-

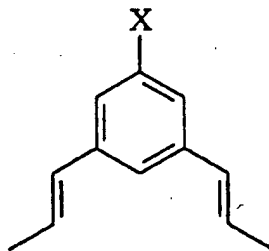
## CLAIMS

1. A compound having the formula:

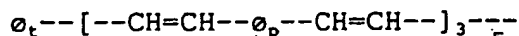
5

$$\text{CORE} - [\text{DENDRITE}]_n$$

in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent and wherein the CORE is not



20 where X is bromine or CHO when n=2, and does not contain the structure



in which  $\phi_t$  is a 1,3,5-bonded benzene ring and  $\phi_p$  is a para-bonded benzene ring.

25

2. A compound according to claim 1 which is luminescent in the solid state.

-44-

3. A compound according to claim 2 which emits light in the visible region under electrical or optical excitation.

5 4. A compound according to any one of claims 1 to 3 which has more than one luminescent moiety and the energy resulting from electrical or optical excitation is transferred to one of them for light emission.

10 5. A compound according to any one of claims 1 to 4 which has at least two inherently at-least-partly-conjugated luminescent moieties, wherein the or each said DENDRITE includes at least one of the said luminescent moieties, the luminescent moiety or moieties further from the CORE of larger HOMO-LUMO energy gap than the luminescent moiety or moieties closer to or partly or  
15 wholly within the CORE.

20 6. A compound according to claim 5, wherein the or each DENDRITE contains more than one luminescent moiety, preferably with those further from the core having larger inherent HOMO-LUMO energy gaps than those closer to the core.

25 7. A compound according to claim 5 or 6 wherein one of the luminescent moieties is partly or wholly within, or constitutes, the CORE itself and has a smaller inherent HOMO-LUMO energy gap than the other luminescent moiety or moieties in the DENDRITE.

8. A compound according to any one of claims 1 to 6 wherein the CORE is not luminescent.

30 9. A compound according to claim 8, wherein the CORE is not luminescent and the luminescent moiety or moieties further from the core have larger HOMO-LUMO energy gaps than those closer to the core.

10. A compound according to any one of the preceding



-45-

claims wherein the first aryl moiety of DENDRITE is a 1,3,5-bonded benzene ring.

11. A compound according to any one of the preceding claims wherein n is 2 and the DENDRITE units are attached  
5 in the para position to an aromatic CORE.

12. A compound according to any one of the preceding claims wherein CORE comprises at least two aromatic rings which are not fused to one another.

13. A compound according to any one of the preceding  
10 claims wherein CORE does not possess a halogen atom attached to an aromatic ring.

14. A compound according to any one of the preceding claims wherein CORE comprises a distyryl anthracene, porphyrin or distyrylbenzene moiety.

15 15. A compound according to any one of claims 1 to 13 wherein CORE comprises a moiety of benzene, pyridine, pyrimidine, triazine, thiophene, divinylbenzene, distyrylethylene, divinylpyridine, pyrimidine, triazine, divinylthiophene, oxadiazole, coronene, or a triarylamine  
20 or a fluorescent dye or marker compound.

16. A compound according to any one of the preceding claims, wherein n is two to six.

17. A compound according to any one of the preceding claims, wherein the or each DENDRITE is at least partly  
25 conjugated with CORE.

18. A compound according to any one of the preceding claims, wherein the aryl moieties in DENDRITE are benzene rings, preferably coupled at ring positions 1, 3 and 5, or are pyridyl, triazinyl or thiophenyl rings.

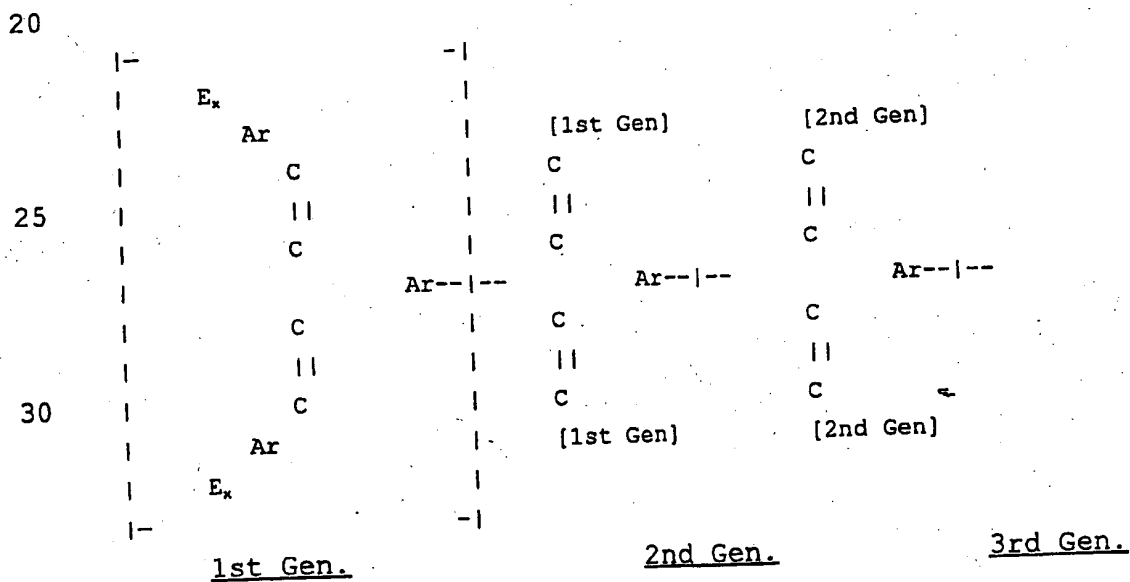
30 19. A compound according to any one of the preceding claims wherein at least one surface group is attached to a distal aryl ring carbon atom of DENDRITE, said group being

-46-

a further-reactable alkene, (meth)acrylate, sulphur-containing, or silicon-containing group; sulphonyl group; polyether group; C<sub>1</sub>-to-C<sub>15</sub> alkyl (preferably t-butyl) group; amine group; mono-, di- or tri- C<sub>1</sub>-to-C<sub>15</sub> alkyl amine group; -COOR group wherein R is hydrogen or C<sub>1</sub>-to-C<sub>15</sub> alkyl; -OR group wherein R is hydrogen, aryl, or C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl; -O<sub>2</sub>SR group wherein R is C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl; -SR group wherein R is aryl, or C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl; -SiR<sub>3</sub> groups wherein the R groups are the same or different and are hydrogen, C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl, or -SR' group (R' is aryl or C<sub>1</sub>-to-C<sub>15</sub> alkyl or alkenyl), aryl, or heteroaryl.

20. A compound according to claim 19 wherein the surface groups are t-butyl groups.

21. A compound according to any one of the preceding claims, wherein the DENDRITE structures consist of the alkenyl and (hetero)aryl units coupled together by conjugated linkages in 1st, 2nd, or 3rd (or higher) generation structures of general formula



in which x is 1, 2, or 3 and E is a surface group

-47-

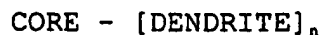
which may be the same or different if more than one.

22. A compound according to any one of the preceding claims, incorporating one or more electron-withdrawing groups which increase its electron-transporting properties.

5 23. A compound according to claim 1 specifically identified herein.

24. A process for preparing a compound of the formula:

10



in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and alkenyl groups connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent, which comprises reacting a 3,5-di(halo) benzaldehyde with a 3,5-di(surface group) styrene, optionally (i) converting the aldehyde group of the benzaldehyde which results from the preceding reaction into a vinyl group and (ii) reacting the vinyl compound with 3,5-di(halo)-benzaldehyde, said combination of steps (i) and (ii) being carried out one or more times, and finally reacting the benzaldehyde which results from the preceding

15

20

25

30

-48-

reaction with a moiety which comprises at least the central part of CORE.

25. A process according to claim 24 wherein the surface group is t-butyl.

5 26. A process according to claim 24 or 25 wherein the moiety which comprises at least the central part of the CORE is pyrrole or a phosphonate.

27. A process according to any one of claims 24 to 26 comprising the steps indicated in any one of the schemes  
10 hereinbefore described.

28. A compound as defined in claim 24 whenever prepared by a process as claimed in any one of claims 24 to 27.

15 29. A light emitting device incorporating as, or in, its light-emitting element a compound having the formula:

CORE - [DENDRITE]<sub>n</sub>

20 in which CORE represents an atom or group, n represents an integer of at least 1 and DENDRITE, which may be the same or different if n is greater than 1, represents an inherently at least partly conjugated dendritic molecular structure comprising aryl and/or heteroaryl groups and  
25 an alkenyl group connected to each other via a carbon atom of an alkenyl group to a ring carbon atom of an aryl or heteroaryl group, CORE terminating in the first single bond which is connected to a ring carbon atom of an (hetero)aryl group to which more than one at least partly conjugated  
30 dendritic chain is attached, said ring carbon atom forming part of DENDRITE, the CORE and/or DENDRITE being luminescent.

30. A device according to claim 29 wherein the

compound is one claimed in any one of claims 1 to 23 and 28.

31. A device according to claim 29 or 30 which comprises a layer of said compound together with one or  
5 more layers of other materials, preferably including or being a hole-transporting or electron-transporting layer.

32. A method of manufacturing a light emitting device comprising the steps of providing a film of at least one compound as claimed in any one of claims 1 to 23 and 28  
10 and, optionally, one or more other films to provide a laminate, adhering to one surface of the said film or laminate a substantially transparent electrode (preferably ITO), and depositing on the other surface of the said film or laminate a metallic cathode, preferably selected from  
15 Al, Mg/Ag, and Ca.

33. A film formed of a compound according to any one of claims 1 to 23 and 28, preferably of thickness from 20 to 200 nanometres, more preferably 50 to 150 nanometres; and/or preferably in a mixture with a film-forming  
20 polymeric material or binder.

34. A colour display device including pixels comprising one or more light emitting devices as claimed in any one of claims 29 to 31.

35. A colour display device according to claim 34  
25 wherein a substantially white or neutral background is provided by one or more of said compounds which inherently emit such a background colour.

36. A compound according to any one of claims 1 to 23 and 28 when used as a light-emitting element in an  
30 electrically-actuated light-emitting diode.

37. Use of a compound according to any one of claims 1 to 23 and 28 in any semiconducting device other than a

-50-

light emitting device, for example, a photodiode, solar cell, FET, or solid state triode.

DIAGRAM 1

## DENDRIMER CONCEPT

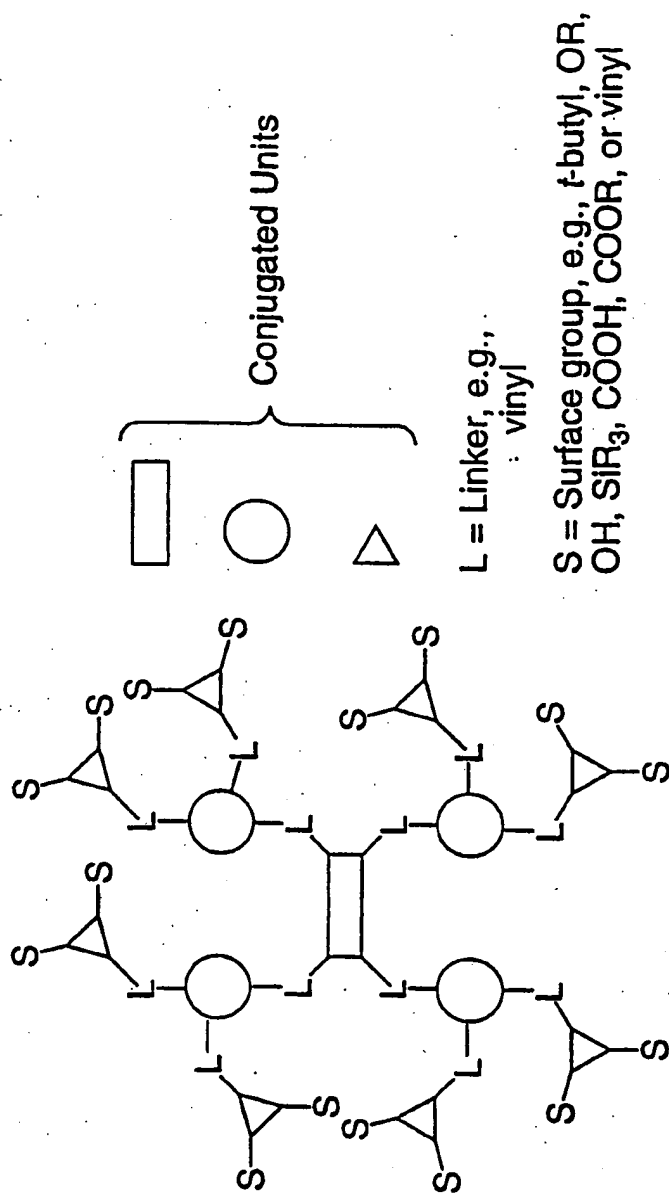
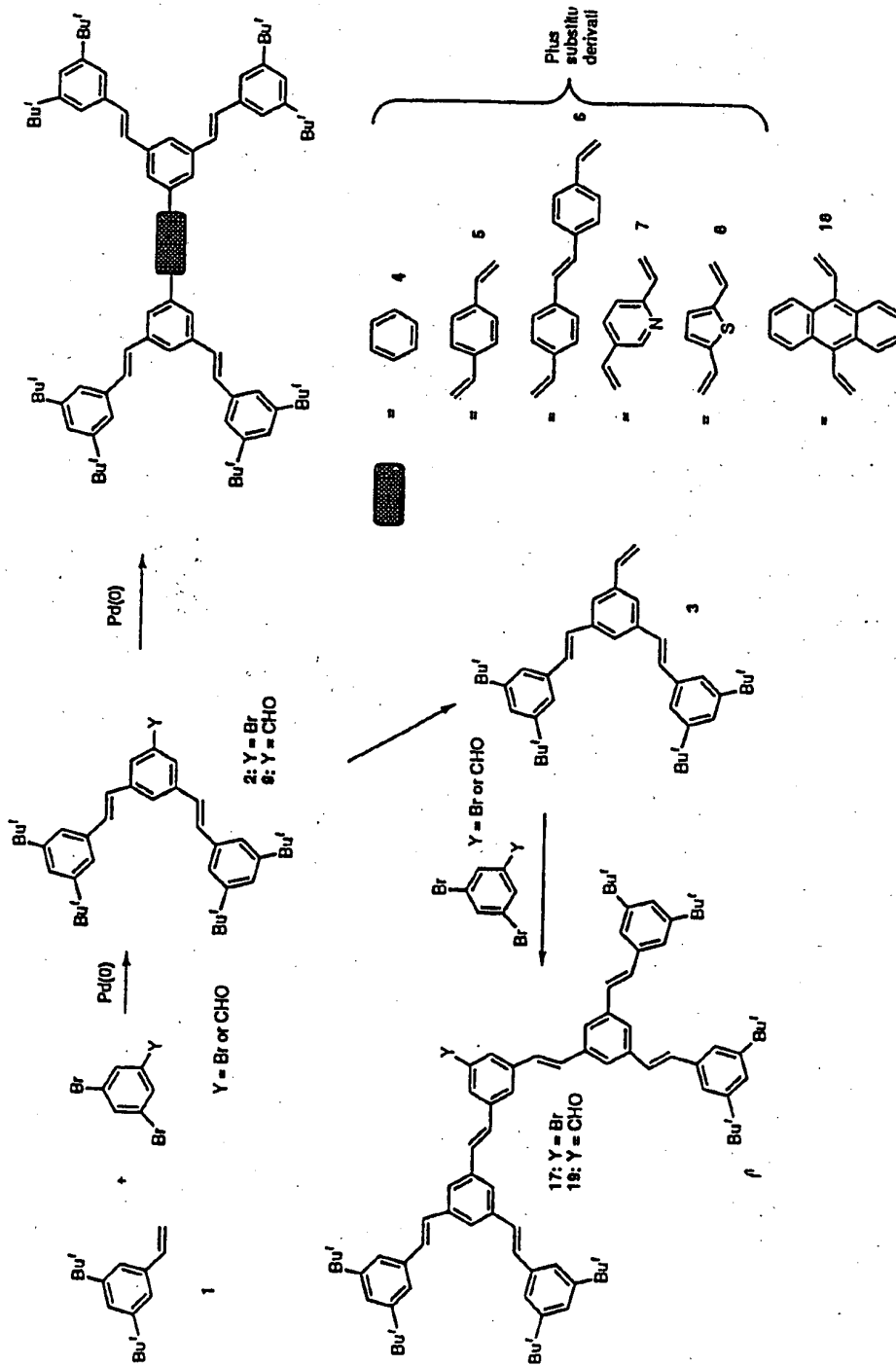


DIAGRAM 1



## DIAGRAM 2



THREE LAYER DENDRIMER LIGHT-EMITTING DIODE

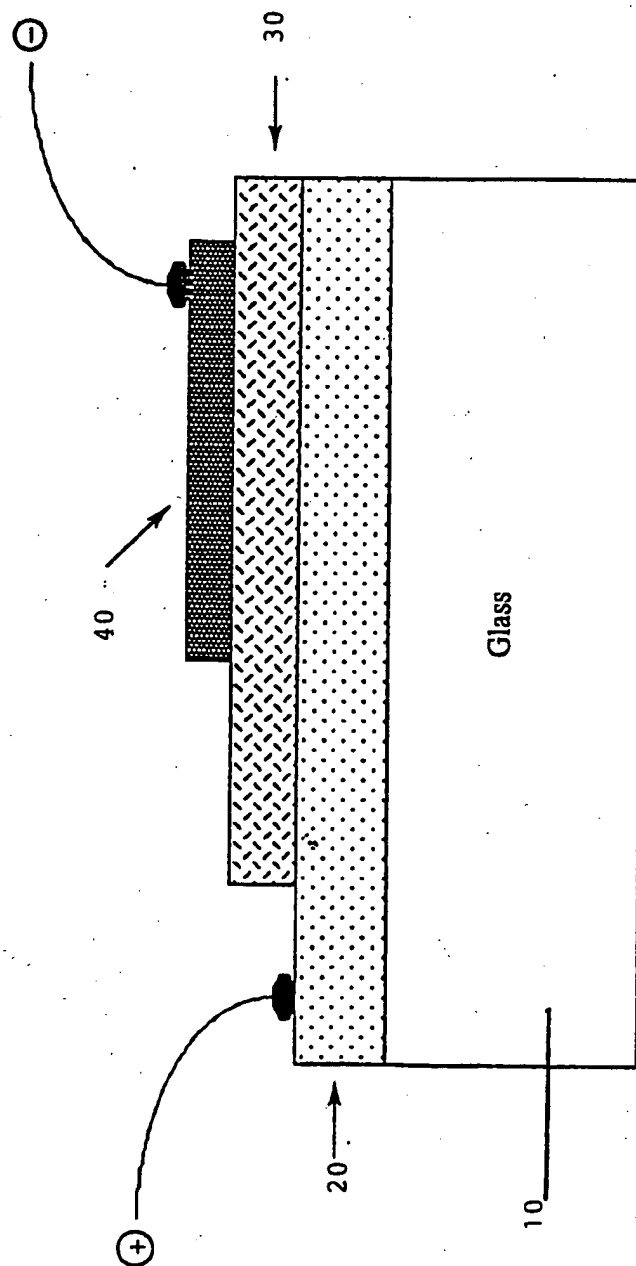


Figure 1

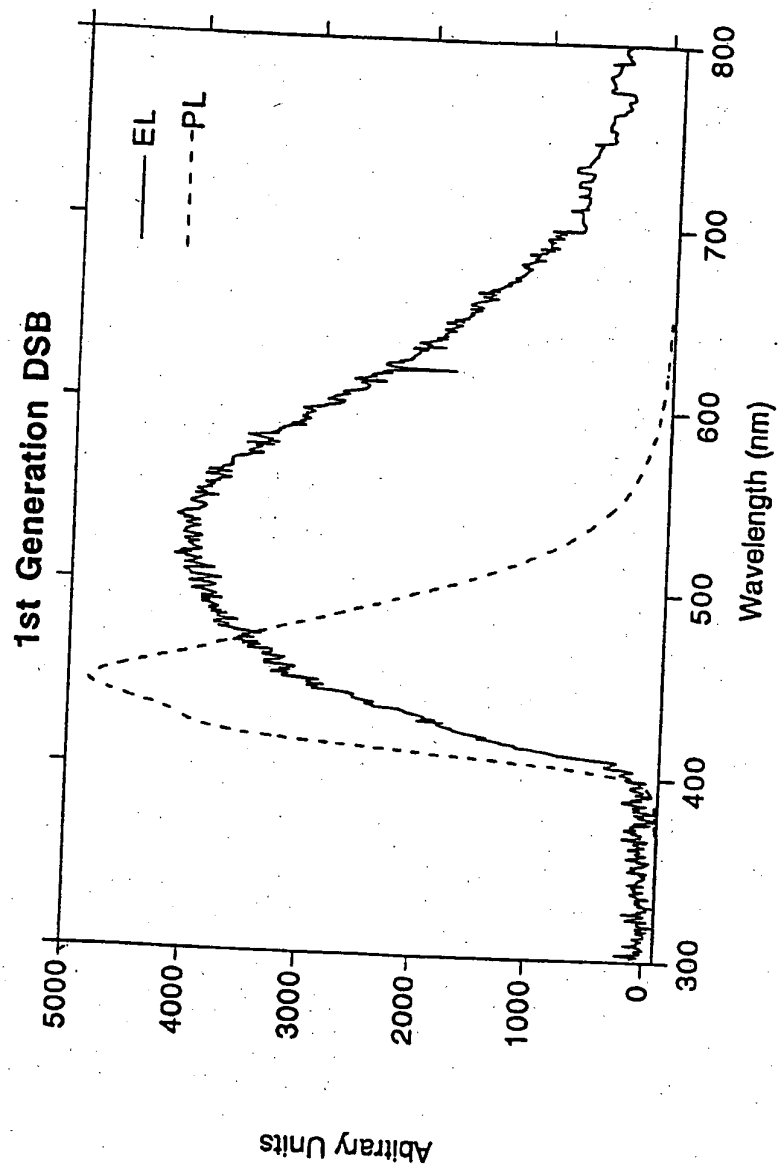


Figure 2

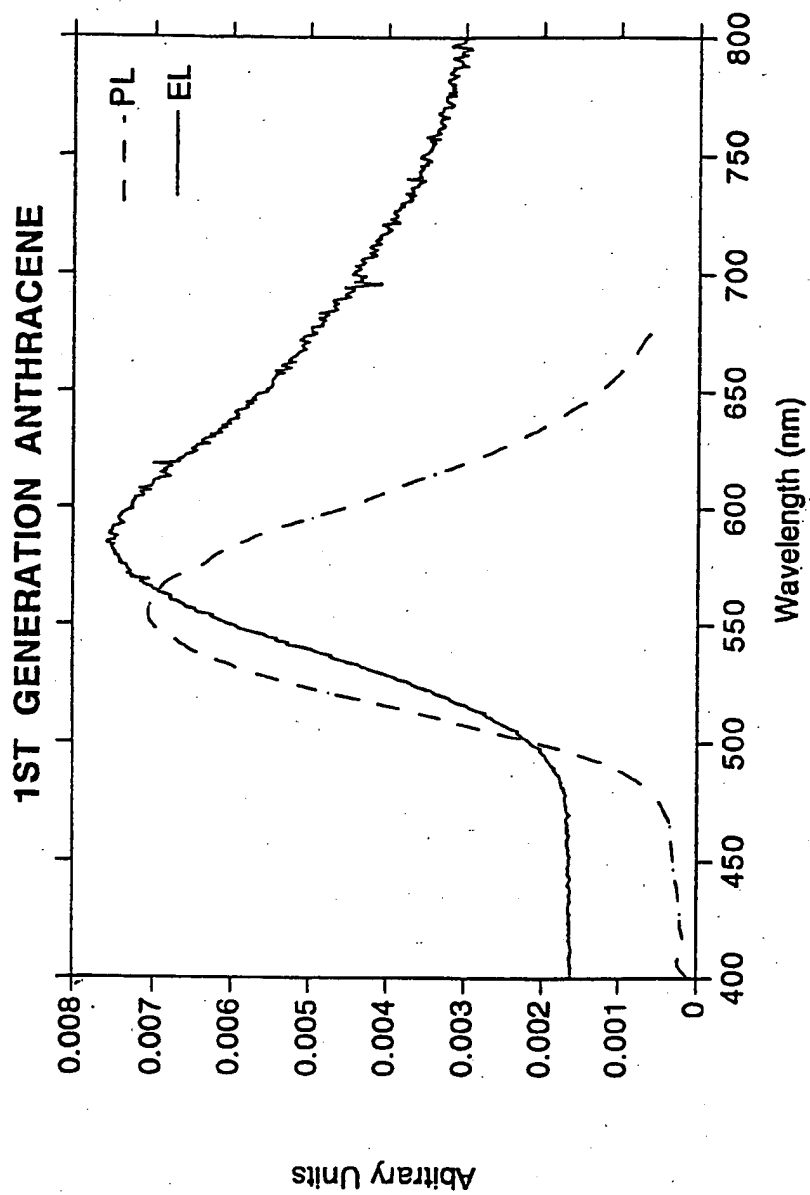


Figure 3

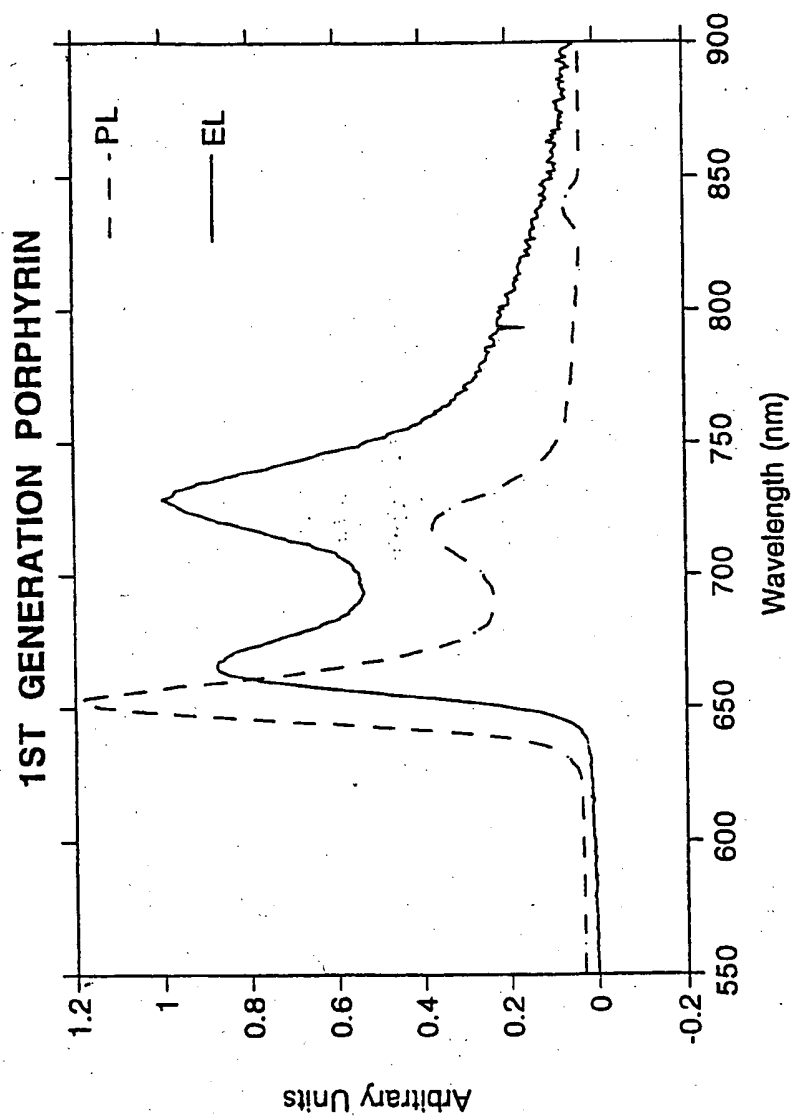


Figure 4

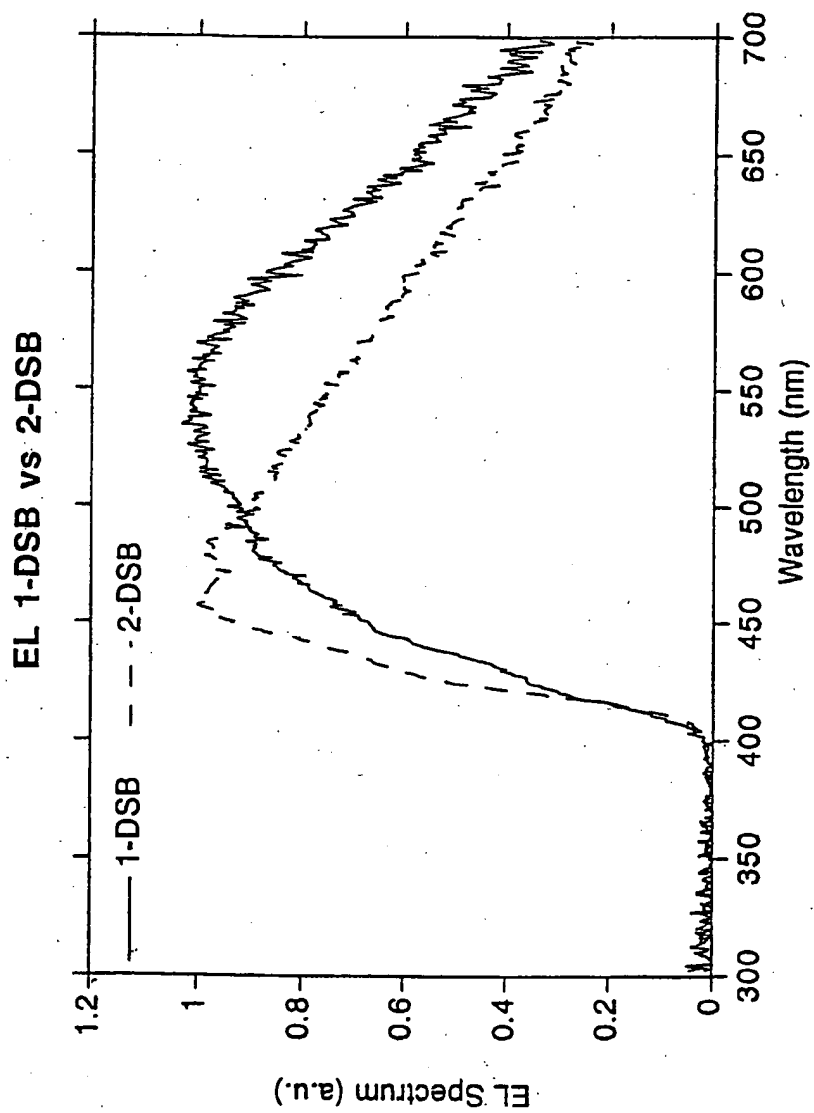


Figure 5

(228.170)

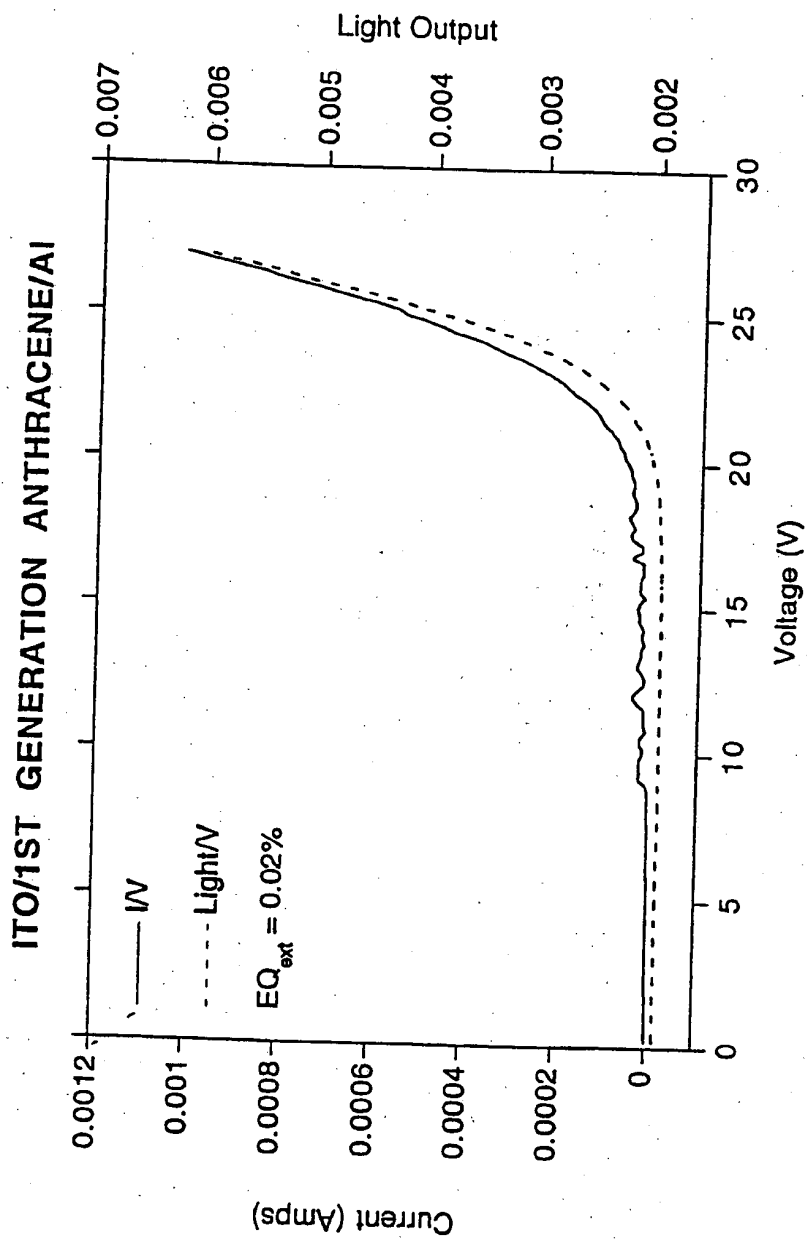


Figure 6

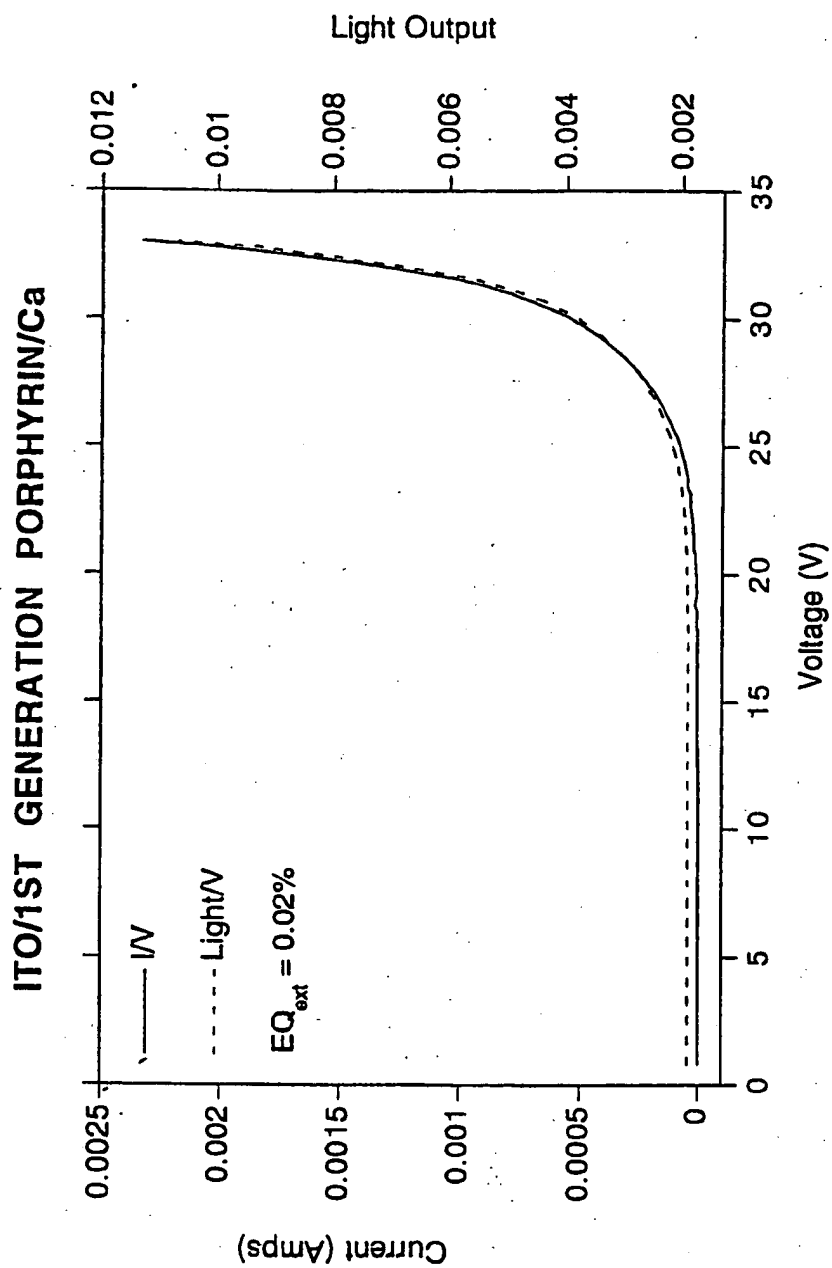


Figure 7

0.02%

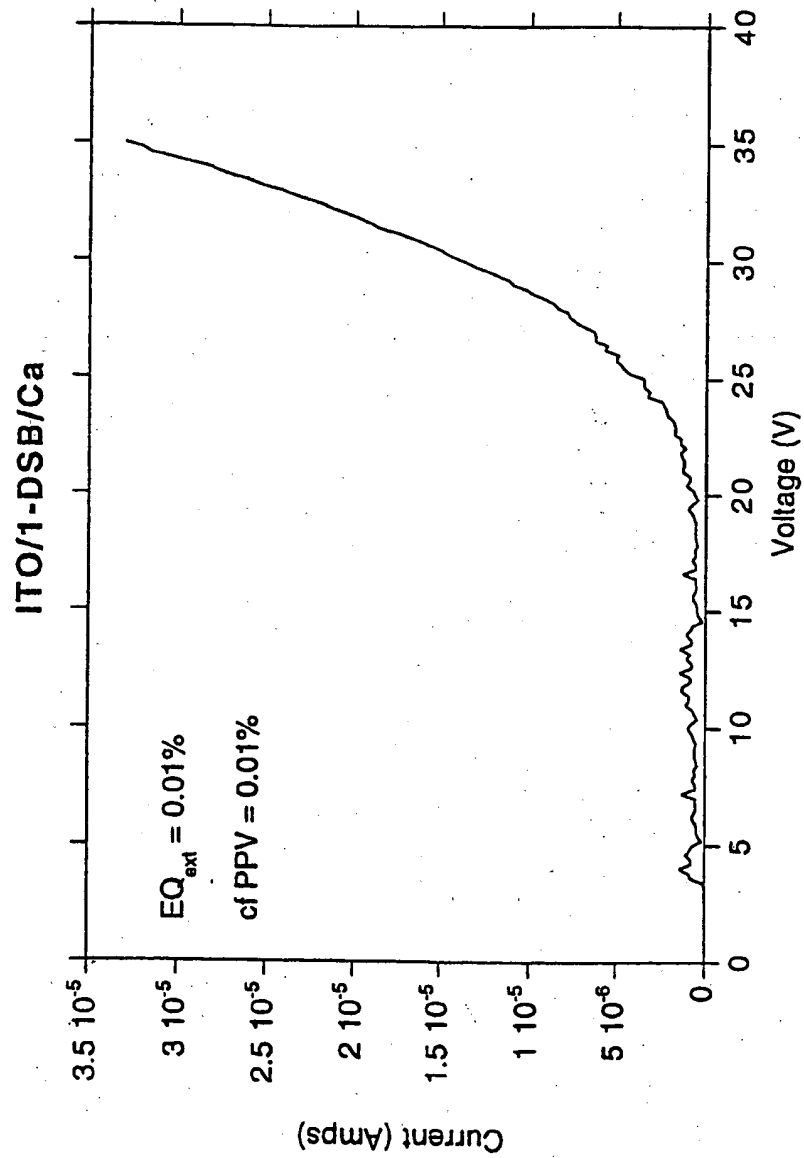


Figure 8



## Synthesis of 1st Generation Dendrimers

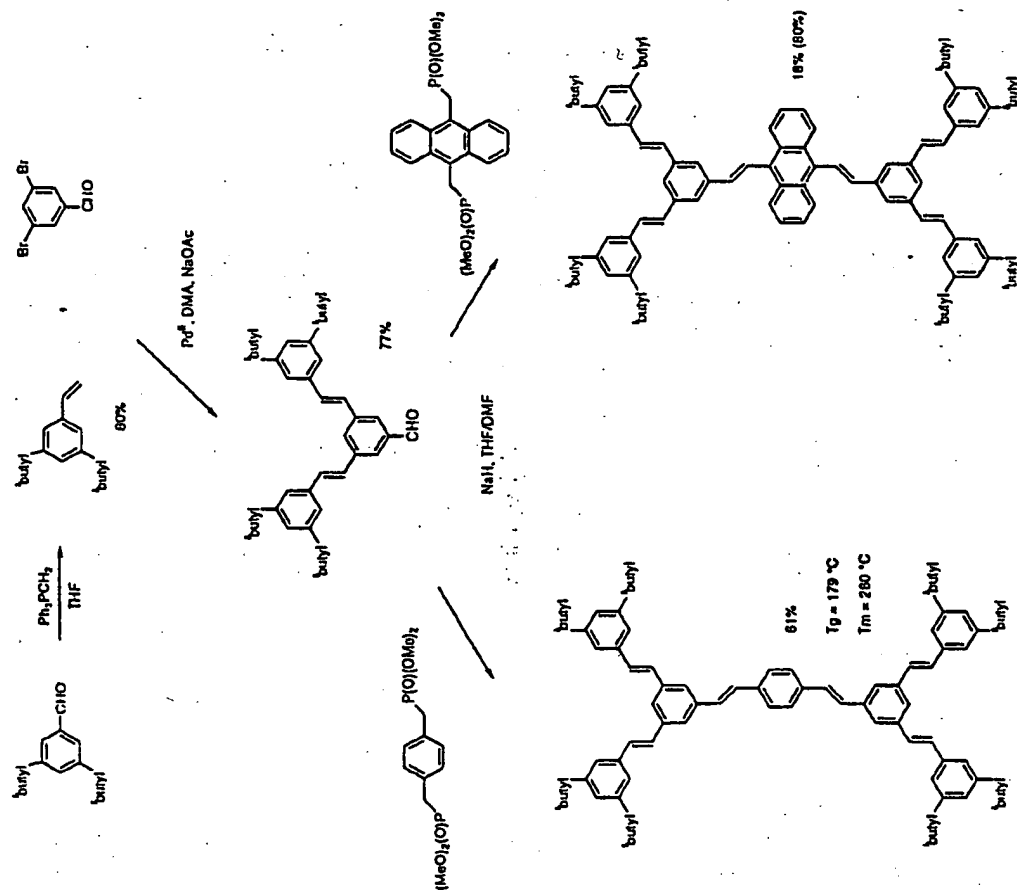


Diagram 3

12/16

## Synthesis of 1st Generation Porphyrin Dendrimer

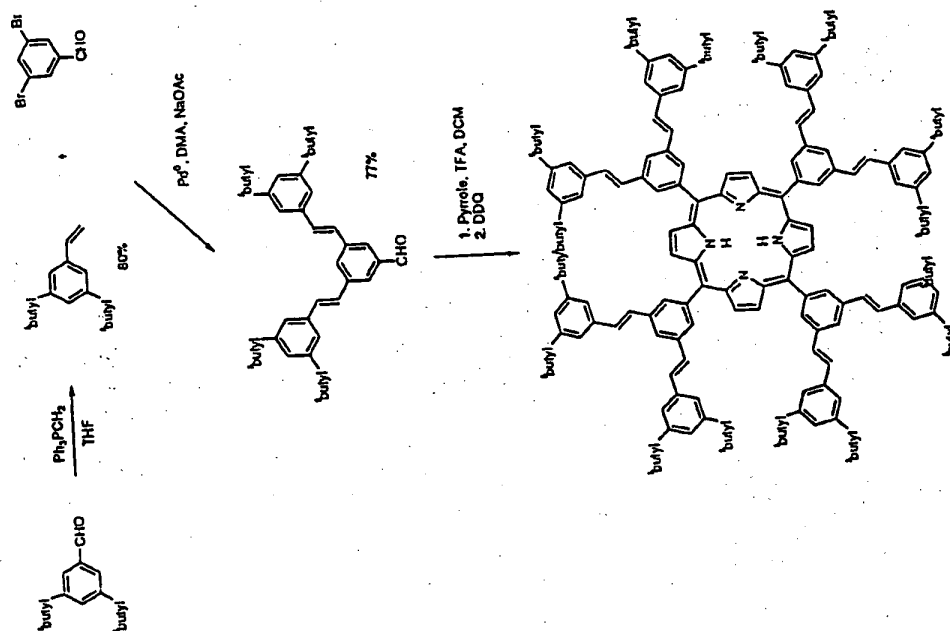


Diagram 4

## Synthesis of 2nd Generation Anthracene Dendrimer

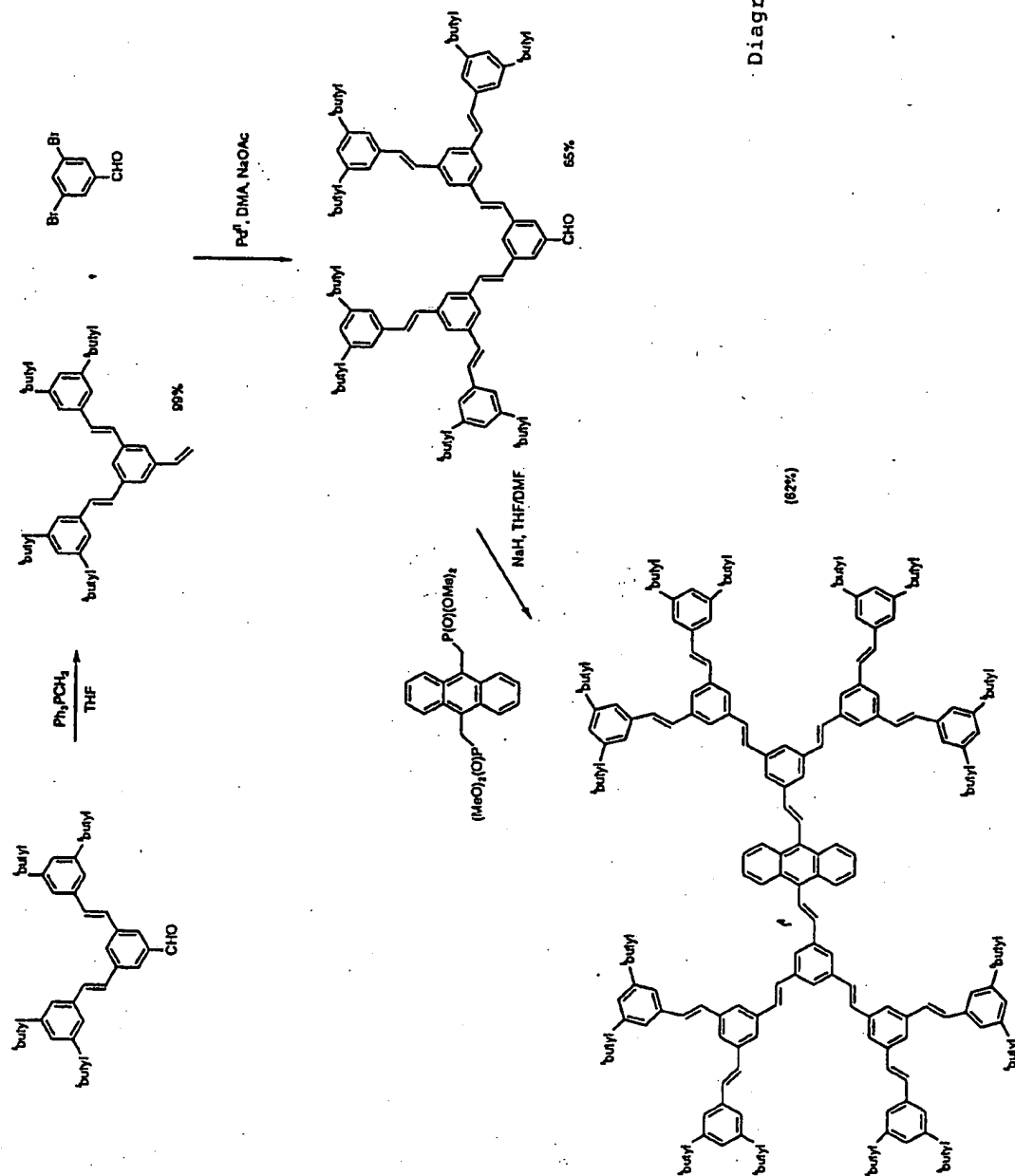


Diagram 5

## Synthesis of 2nd Generation Distyrylbenzene Dendrimer

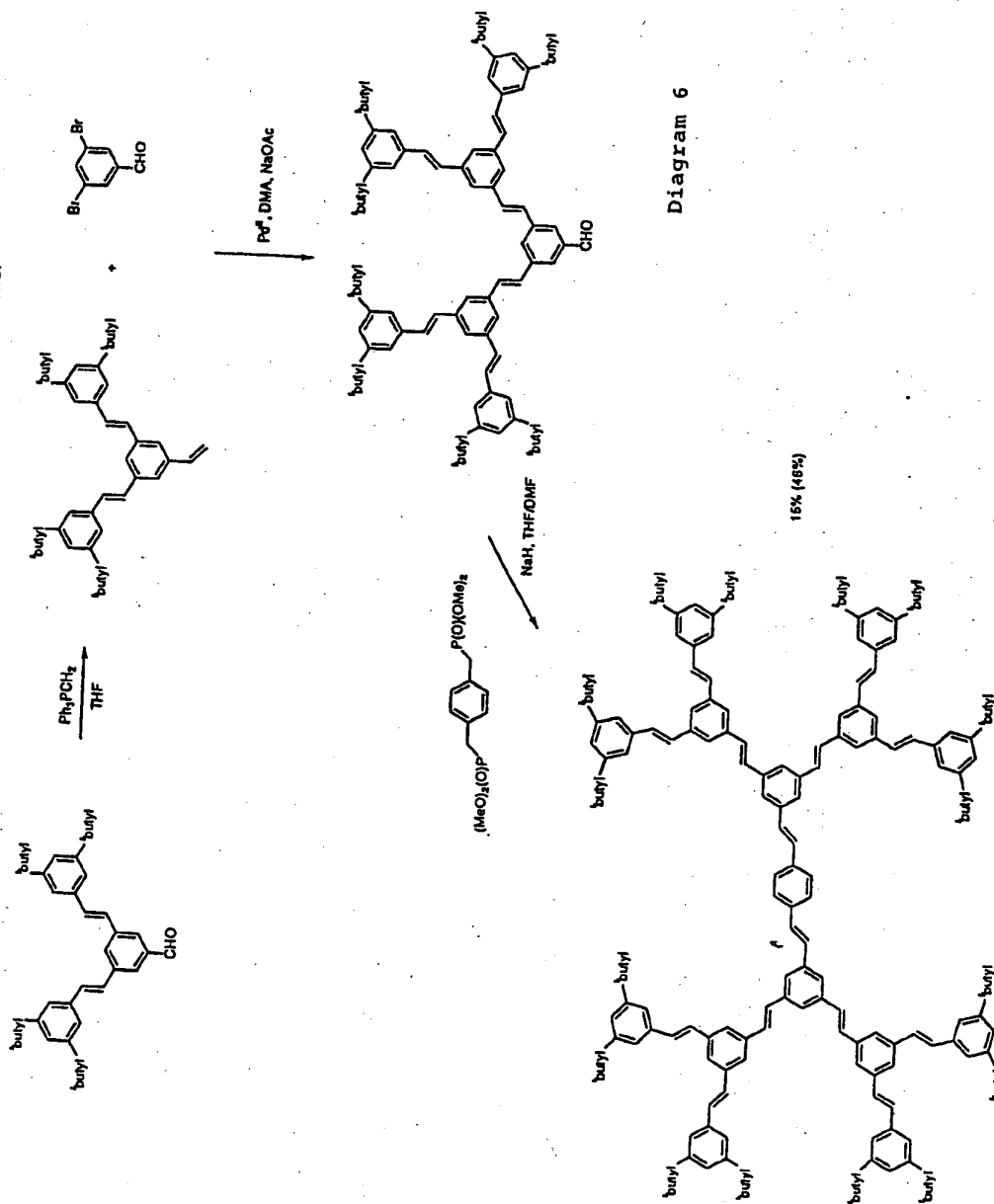
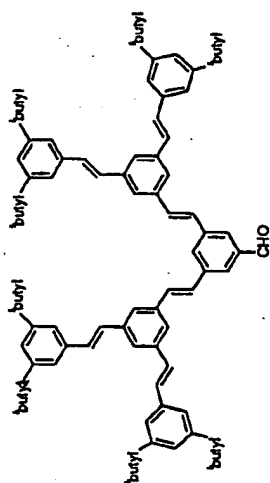
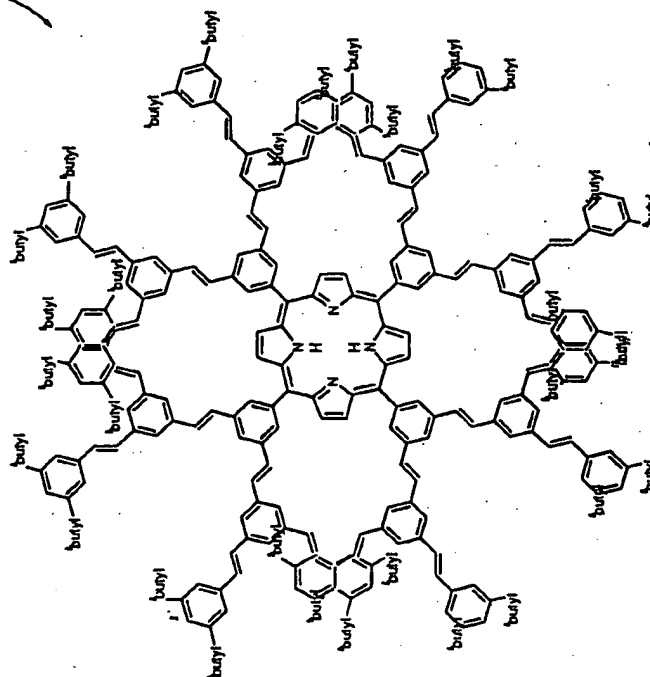


Diagram 6

## Synthesis of 2nd Generation Porphyrin Dendrimer



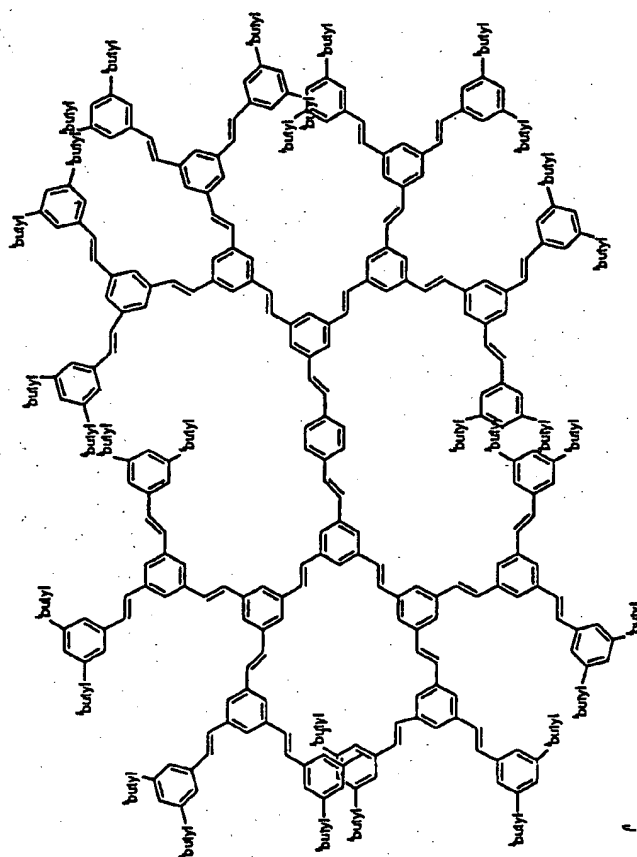
1. Pyrrole, TFA, DCM  
2. DDQ



(14a)

Diagram 7

3rd Generation Distyrylbenzene Dendrimer

(70%)  
Diagram 8

# INTERNATIONAL SEARCH REPORT

Int. Application No

PCT/GB 98/03172

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C09K11/06 C08G61/10 C08G83/00 H05B33/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C09K C08G H05B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DEB ET AL: "A simple orthogonal approach to poly(phenylenevinylene) dendrimers" JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 119, 24 September 1997, pages 9079-9080, XP002088190 cited in the application see the whole document	1-37
A	WANG P -W ET AL: "ELECTROLUMINESCENT DIODES FROM A SINGLE-COMPONENT EMITTING LAYER OF DENDRITIC MACROMOLECULES" ADVANCED MATERIALS, vol. 8, no. 3, 1 March 1996, pages 237-241, XP000558253 cited in the application see the whole document	1-37

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

16 December 1998

Date of mailing of the international search report

14/01/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 851 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Shade, M

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 98/03172

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	BETTENHAUSEN J ET AL: "DENDRIMERS WITH 1,3,4-OXADIAZOLE UNITS, 1. SYNTHESIS AND CHARACTERIZATION" MACROMOLECULAR: RAPID COMMUNICATIONS, vol. 17, no. 9, September 1996, pages 623-631, XP000636301 cited in the application see the whole document	1-37
A	DE 195 41 113 A (SYNTEC GES FUER CHEMIE UND TEC) 30 April 1997 see the whole document	1-37
A	ISSBERNER J ET AL: "DENDRIMERS: FROM GENERATIONS AND FUNCTIONAL GROUPS TO FUNCTIONS" ANGEWANDTE CHEMIE. INTERNATIONAL EDITION, vol. 33, no. 23/24, 1994, pages 2413-2420, XP000199339 see the whole document	1-23
A	BURN P L ET AL: "Chemical tuning of the electronic properties of poly(p-phenylenevinylene)-based copolymers" JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 115, 1993, pages 10117-10124, XP002088191 cited in the application see the whole document	1-37



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 98/03172

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 19541113 A	30-04-1997	NONE	

**THIS PAGE BLANK (USPTO)**